

Connecticut Paint Stewardship Program Annual Report July 1, 2014 – June 30, 2015

Submitted by:

Laura Honis Connecticut Program Manager Ihonis@paint.org (203) 747-4494

PaintCare Inc. 1500 Rhode Island Avenue, NW Washington, DC 20005 (202) 724-6809

Submitted to:

Rob Klee Commissioner Department of Energy and Environmental Protection 79 Elm Street Hartford, CT 06106

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Executive Summary

Connecticut Paint Stewardship Law

PaintCare is the representative stewardship organization of the Connecticut Architectural Paint Stewardship Program ("program"), which is codified in Sections 22a-904 and 22a-904a of the Connecticut General Statutes. The Connecticut Paint Stewardship Law provides for the establishment of a permanent statewide paint stewardship program, managed by a stewardship organization, to accomplish the following:

- Minimize public sector involvement in the management of postconsumer paint through the program's efforts to reduce the generation of postconsumer paint, promote using up leftover paint, and recycling and proper management of collected postconsumer paint.
- Negotiate and execute agreements to collect, transport, and properly manage postconsumer paint through environmentally sound management practices.
- Offer a convenient, statewide collection infrastructure, which at a minimum, provides for collection rates and user convenience equal to or greater than the collection programs available to consumers prior to the implementation of the program.
- Establish a sustainable funding mechanism through a paint stewardship assessment ("PaintCare Fee") on architectural coatings sold in the state of Connecticut. The fee must be paid by manufacturers to PaintCare to fund implementation of the program.

Highlights, Challenges and Lessons Learned

Sites, Events, and Service. PaintCare added an additional 15 drop-off sites in the second year – eight at paint retailer and seven at transfer stations, for a total of 138 permanent year-round and five seasonal paint drop-off sites. Of the 138 permanent year-round drop-off sites, 106 were retailers, representing approximately 39% of likely retail participants. The 138 permanent drop-off sites provided a site within 15-miles to 99.9% of Connecticut's residents.

PaintCare also managed paint from 46 municipal household hazardous waste (HHW) drop-off events, held five paint-only drop-off events, and provided 25 direct large pick-ups from business and institutions that had accumulated more than 300 gallons of paint at their facilities.

Paint Collection Volume. The program processed 275,940 gallons of postconsumer paint in its second year, a 15% increase over the first year. Latex paint made up 79% of the total paint; 82% was made into recycled-content paint, 6% was used as a landfill cover product, and 12% was unrecyclable and sent to landfill. Oil-based paint made up 21% of the total paint. All of the oil-based paint was used for fuel. In addition to paint, 154 tons of container were recycled, both metal and plastic.

Expenses and Revenue. The program was funded through fees on new paint sales: 35¢ on pints and quarts; 75¢ on 1-gallon containers; and \$1.60 on 5-gallon containers. Approximately 5.95

million gallons of architectural paints were sold in Connecticut in the second year. The program collected \$3,678,156 in revenue from these sales.

Expenses, including paint transportation and processing, outreach and education, staffing, and administrative costs were \$2,695,676. The program accrued \$1,796,810 in reserves through the second year, the equivalent of 67% of annual expenses.

The cost per gallon of the program in the second year was \$9.77, a small increase from the first year.

Paint Recovery Rate. The recovery rate – the volume of postconsumer paint collected divided by the volume of new paint sales in the same period – was 4.6%, an increase from 4.2% in the first year.

Outreach and Education. In the second year of the program, PaintCare's outreach efforts were fully implemented to include a variety of in-store print materials and signage, television, radio, digital, newspaper, and outdoor (billboard) advertising as described in the Section 4 of this report.

Program Plan and Annual Report

The Connecticut Paint Stewardship Law required the submission and approval of a Program Plan by PaintCare prior to the program's launch. The Connecticut Department of Energy and Environmental Protection approved PaintCare's Program Plan in the spring of 2013 and the Connecticut program began on July 1, 2013. PaintCare's Connecticut Program Plan and first Annual Report is available from PaintCare's website.

The Connecticut Paint Stewardship Law also requires the submission of an Annual Report to the Commissioner of Department of Energy and Environmental Protection each year, covering the period of July 1 – June 30.

The Annual Report shall include, but not be limited to:

- (1) A description of the methods used to collect, transport and process postconsumer paint in the state;
- (2) The volume and type of postconsumer paint collected in the state and method of disposition, including reuse, recycling and other methods of processing;
- (3) The total cost of implementing the program, as determined by an independent financial audit and an evaluation of the operation of the program's funding mechanism; and
- (4) Samples of educational materials provided to consumers of architectural paint and an evaluation of the methods used to disseminate such materials.

Section 1. Paint Collection, Transportation and Processing

Annual Report Statutory Citation

Sec. 22a-904a(h) The representative organization shall submit a report to the Commissioner of Environmental Protection that details the paint stewardship program. Such report shall include, but not be limited to:

(1) A description of the methods used to collect, transport and process postconsumer paint in this state.

A. COLLECTION SITES, EVENTS AND SERVICES

The Connecticut Paint Stewardship Law requires a program that provides for convenient and available statewide collection of postconsumer paint that, at a minimum, provides for collection rates and convenience equal to or greater than the collection programs available to consumers prior to the paint stewardship program. PaintCare met both the volume and convenience requirements of the law in its first year, and continued to grow and refine the program in this reporting year.

The legislation also asks that the program make an effort to collaborate with existing household hazardous waste (HHW) collection programs and allow for any retailer that wishes to participate as a paint collection point. As discussed in the first Annual Report, PaintCare has partnered with nearly every HHW program in the state, and all retailers that have requested to join the program have been welcomed and included.

Prior to the PaintCare program, Connecticut households were only able to utilize the HHW collection programs for their oil-based paint products, and the public was encouraged to dry and dispose of latex paint. Connecticut's HHW programs did not offer any subsidized services for business. All businesses, regardless of their size, had to contract with private hazardous waste haulers for paint disposal, which was generally cost-prohibitive for painting contractors and other small businesses.

To increase recycling opportunities for Connecticut households, businesses, and others with leftover paint, all suitable locations were invited to participate as PaintCare drop-off sites, provided they met PaintCare's operational requirements, including adequate space for storage of paint collection bins and willingness to accept all program products (latex and oil-based products). PaintCare reached out to paint retailers, material reuse stores, household hazardous waste programs, and public and private waste transfer stations to invite them to become paint drop-off sites.

By the end of the second year, the Connecticut program 138 permanent, year-round drop-off sites located throughout the state. These included 104 retail stores, 32 transfer stations, and two reuse stores. [Four retail stores closed during this reporting period and are referred to in the table on the next page, and maps later in the report, as "paint retailer (limited participation)."]

PaintCare also managed paint from three of the state's four seasonal HHW collection facilities and two seasonal transfer station, held five paint-only drop-off events, and conducted 25 direct large volume pick-ups (LVP) from businesses and other sites that had accumulated more than 300 gallons of paint.

All PaintCare drop-off sites, the LVP service, and PaintCare paint-only events accept both latex and oil-based paints. All retailers accept paint from both residents and qualifying businesses, as does the LVP service. HHW programs and transfer stations generally do not service businesses, nor is PaintCare permitted to accept oil-based paint from businesses at paint-only events in Connecticut.

PaintCare collection sites, events, and services are summarized in the following table and shown on the maps beginning on page 12.

Summary of PaintCare Collection Sites, Events and Services

PERMANENT YEAR-ROUND DROP-OFF SITES	YEAR 1	YEAR 2
Paint Retailers	100	104
Transfer Stations	25	32
Reuse Stores	2	2
Total	127	138

SUPPLEMENTAL SITES, EVENTS, AND SERVICES

Municipal HHW Events	54	46
PaintCare Paint-Only Events	11	5
Seasonal Municipal HHW Facility	3	3
Seasonal Transfer Station	2	2
Paint Retailers (limited participation)	0	4
Direct Large Volume Pick-Ups	34	25

The following subsections discuss the various paint collection sites, events, and services provided by the Connecticut PaintCare program. Section 2 of this report details the volumes of paint collected by each site type and service.

A1. Paint Retailers

PaintCare added eight new retail sites in the second year. Paint retailers provide ideal locations for paint drop-off sites because they are located throughout the state, are often centrally located in cities and towns, are open five or more days per week, and have staff familiar with paint products and their safe handling. In addition, their customers are likely to have some leftover paint and often ask store staff for advice on what to do with it.

As discussed in PaintCare's Connecticut Program Plan, approximately 450 sites were identified that sold paint, consisting of paint, hardware, and home improvement stores. Prior to the start of the program and over the last two years, PaintCare's Connecticut program manager has visited all of the potential paint retail partners in person. She did not visit big box stores,

because their corporate staff informed PaintCare that they were not planning to volunteer their stores to serve as drop-offs sites. With the removal of big box and variety stores, the number of potential retail drop-off sites was reduced to 269. Of these, 104 stores (nearly 39%) were participating in the program as paint drop-off sites at the end of the second year. Retailers participate in the program to increase foot traffic through their stores and to provide a service for their customers. Appendix A provides the names and addresses of the stores.



PaintCare provides various types of signs upon request as shown here where Oxford Lumber and Building Materals requested a sandwich board sign.

A2. Household Hazardous Waste Programs

Partnerships with household hazardous waste program, seasonal facilities and events, continued in the second year, with almost every HHW program participating. PaintCare continued its efforts to bring the few remaining HHW programs into the PaintCare program, with two more towns that hold events joining the program in the second year. Appendix A provides the names and locations of the HHW seasonal facilities and events that participated during the second year.

A3. Transfer Stations

PaintCare continued to meet with interested municipalities during the second year to discuss the PaintCare program and benefits to transfer stations, adding seven more transfer stations to the program. Transfer stations participate as drop-offs sites to expand the recycling services provided to their customers and to help keep paint out of the waste stream.

Appendix A provides the names and addresses of the transfer stations in the programs.



Paint Bins and Signage at the North Stonington Transfer Station

A4. Reuse Stores

PaintCare identified seven paint reuse stores in Connecticut, two of which are participating in the program. Stores participate to increase foot traffic and to generate revenue from selling the paint. PaintCare also provided a quarterly reporting stipend during this reporting period, which has since switched to quantity-based payment. Appendix A provides the names and addresses of the reuse stores participating in the program.

A5. PaintCare Paint-Only Events

Five paint-only drop-off events where held April-June 2015, in areas of the state with higher populations to maximize event participation. The events were scheduled so they would not conflict or coincide with HHW events in the area. Unlike HHW events, there were no geographical, volume or use restrictions on participation (with the exception of oil-based paint

from businesses). A total of 853 residents and businesses participated in the events, the largest drawing 269 participants. See Appendix A for event locations.

One of the events was held at the same location where an event was held two years ago, in Danbury. This was done to test the need for repeat events in the same area, following the growth of the program. The Danbury site was chosen for a second event because it had the highest participation two years ago, with 583 vehicles. This year, participation dropped to 223 vehicles. This may indicate that the first event cleared out much of the stored up paint from the past, or it may be that the public has been using the eight PaintCare retail sites in the greater Danbury-area and have less of a need for a special paint drop-off events.

The five events were promoted a number of ways including flyers distributed electronically and manually through local agencies (see the flyer in Appendix C), newspaper advertisements, and online advertisements on local community Patches.



2015 Paint-Only Event in Middletown

A6. Large Volume Pick-Up Service

The Large Volume Pick-Up Service (LVP service) was offered to painting contractors and others that had accumulated large volumes of paint – generally more than 300 gallons. PaintCare arranged 25 LVPs during the year (from 24 unique sites). The primary users of the service were painting contractors, but they also included large businesses, universities, state agencies, boards of education, and property management companies.

Painting contractors often store paint for future use or because it is expensive to dispose of. Institutions and schools tend to have stored up old paint from repainting classrooms, offices, and other facilities. Similarly, property management companies are constantly repainting the buildings they manage, inside and out, and are left with excess or aging paint.



Paint accumulated by a property management company prior to an LVP.

B. CONVENIENCE CRITERIA

As described in the Program Plan, to ensure adequate paint drop-off opportunities in Connecticut, PaintCare used Geographic Information System (GIS) modeling to determine the appropriate minimum number and distribution of drop-off sites based on the following baseline criteria:

Distribution: At least 95% of Connecticut residents shall have a permanent site within a 15-mile radius.

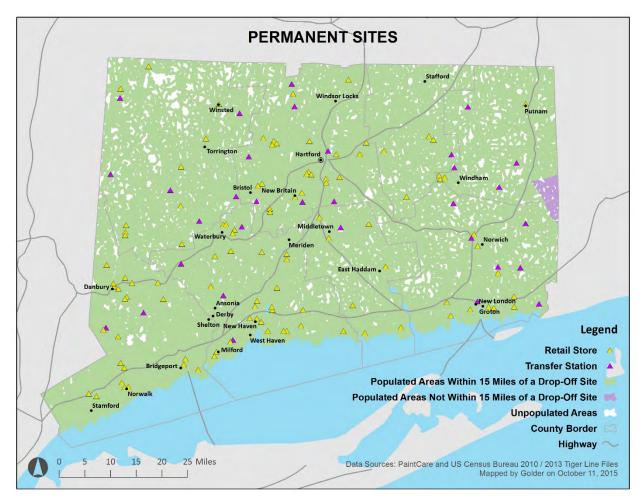
Density: One additional permanent site will be added for every 50,000 people in a population center. Areas not meeting this criterion are considered "underserviced."

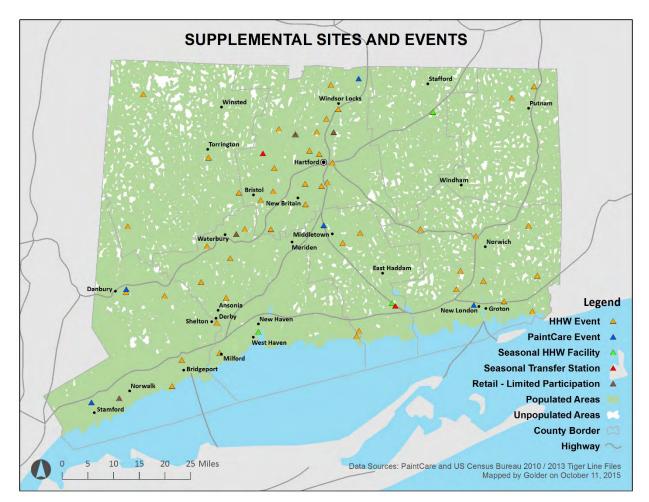
Application of these criteria resulted in the need for approximately 93 optimally located permanent year-round drop-off sites, which PaintCare considered its baseline service level goal. PaintCare aimed to meet the baseline goal within 18 months of program implementation and achieved it within the first year.

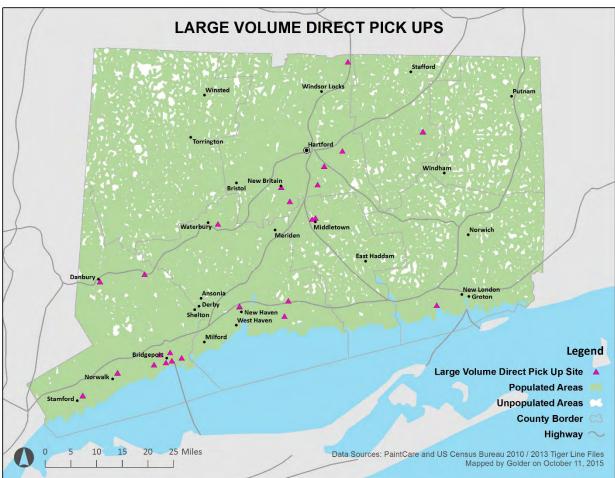
The 138 permanent year-round drop-off sites in place at the end of year two provided 99.9% of Connecticut's residents a drop-off site within 15 miles of their home, and all areas of the state with 50,000 residents or more had the additional sites needed to provide sufficient service. (GIS analysis was conducted by Golder Associates, an engineering firm with expertise in geographic analysis and mapping.)

As discussed previously, prior to the PaintCare program, Connecticut households were only able to utilize the HHW collection programs for their oil-based paints, and were directed to dry and dispose of latex paint. For businesses, options were even fewer. As a result, the PaintCare program has increased paint drop-off convenience tremendously for Connecticut residents and businesses.

The first two of the following three maps shows the locations of PaintCare's permanent and supplemental sites and events. The third map shows the locations of the LVP sites. These LVP sites were not included in any measure of convenience.







C. PAINT COLLECTION PROCEDURES

PaintCare entered into contract agreements with sponsors or operators of all sites (and events). PaintCare contracts require that drop-off sites all meet requirements of local, state, and federal law, regulations and policies.

With the exception of the HHW program whose staff and contractors were already familiar with managing waste paint, all other sites received on-site, in-person training and a program procedures manual. The training and program manual covered:

- Identification of program and non-program products
- Acceptable containers
- Whom to accept paint from and how much
- Screening procedure for Conditionally Exempt Small Quantity Generators (CESQGs) and the required log form
- Proper storage
- Spill response procedures and reporting requirements
- Employee training
- How to schedule a pick-up
- Required paperwork and record retention schedules

Site personnel are required to visually inspect containers of postconsumer paint – but not open them – to confirm that they are acceptable program products and then place them in the spill proof collection bins provided by the program. Unlabeled and leaking cans are not accepted at retail or transfer station sites, but trained staff at HHW sites and events are allowed to accept and prepare them for management under the program.

Retail and transfer station drop-off sites are visited by PaintCare staff on a regular basis to check on their operations and provide additional training and consumer outreach materials as needed.

In addition to PaintCare's training and documentation requirements, the Connecticut Department of Energy and Environmental Protection developed a "General Permit for the Collection and Storage of Postconsumer Paint at Retail Locations." Retailers are required to sign the completed permit registration form and be approved by the Department prior to accepting paint from the public. PaintCare files the registration form on behalf of sites.

Transfer stations and HHW collection facilities had permits prior to PaintCare. The haulers that operate both the HHW and paint-only events hold the permits for these events; both haulers had appropriate permits prior to PaintCare.

D. PAINT TRANSPORTATION AND PROCESSING

D1. Paint Transportation

Following a competitive bid process that evaluated vendors on a number of factors including experience, qualifications, infrastructure and financial resources, environmental compliance history, and pricing, PaintCare selected two transportation service providers: Clean Harbors Environmental Services and MXI Environmental Services, which are both permitted by the State of Connecticut as hazardous waste transporters. PaintCare requires that transportation service providers have the ability and knowledge to respond to incidents involving hazardous materials and comply with all applicable US Department of Transportation (DOT) and state transportation rules.

Clean Harbors was contracted to service all retail drop-off sites, transfer stations, some HHW facilities and events, and some paint-only events. Clean Harbors transported paint from all sites and events to one of their permitted facilities (in either Bristol, CT; Braintree, MA; or Cranston, RI) for screening, separation and consolidation.

MXI was contracted to service some HHW facilities and events, and some paint-only events. MXI transported all paint to their facility in Abingdon, VA for screening, further separation, and consolidation.

Both companies provided excellent service.

D2. Latex Paint Processing

The condition in which postconsumer latex paint is received by the program determines the available management options. If containers are not properly sealed during storage, latex paint can harden due to evaporation and may no longer be useable or recyclable. Similarly, if latex paint freezes a number of times, it may not be as suitable for reuse or recycling. Ultimately, the method of storage and the timing of the decision to bring leftover paint to a drop-off site are determined by the consumer.

The Program's education and outreach messages encourage the timely return of unwanted postconsumer paint in an effort to reduce the age and improve the condition of the paint for end of life management.

PaintCare strives to manage latex paint under the following waste management hierarchy:

Reuse. Reuse programs generally screen for paint containers that are 50% or more full and in good condition and give them away free or sell them to the local community. Two reuse stores participated in the program.

Recycled Paint. Both transporters utilized paint-to-paint recycling as their primary method for managing latex paint. Clean Harbors transported latex paint to GDB International's facilities in Nashville, IL and/or New Brunswick, NJ. GDB processed postconsumer latex paint by

manufacturing a variety of colors of recycled-content paint, which were either distributed and sold domestically in 1 and 5-gallon containers or sold in bulk through international markets.

MXI transported latex paint in cans to their facility in Abingdon, VA where the paint was consolidated, by color then transported to Mathew 25 Ministries (M25M) in Cincinnati, OH and Legacy Paint in Waterboro, SC. M25M further processed the latex paint from MXI and distributed it through charitable international organizations that work with local trade painters to paint schools, public facilities, and low-income housing. Legacy Paint further processed the latex paint further processed for paint further processed for

Alternative Daily Landfill Cover. MXI transported paint to New Waste Concepts in Perrysburg, OH, where it was used as a polymer substitute in a polymeric film product that is applied over the surface of landfills as a daily cover to resist water penetration into the landfill. This product is a sprayable alternative to geomembrane cover materials or soil that reduces the amount of leachate that needs to be treated.

Disposal. Dry and unusable latex paint sorted out by the transporters and processors was sent to authorized landfills.

D3. Oil-Based Paint Processing

While oil-based paint has the potential for reuse, none of the program partners reported processing oil-based paint using this method. Likewise, while it is also possible to recycle oil-based paint back into paint, no processor in the United States offered this option at this time. Thus, all of the oil-based paint managed through the program in year two was used as a fuel in one of the following two ways.

Energy Recovery. MXI transported oil-based to their Virginia facility where it was bulked and shipped to GeoCycle in South Carolina to be used as a fuel.

Incineration. Clean Harbors transported oil-based paint to their own incinerators in El Dorado, AR and Deer Park, TX.

D4. Empty Paint Containers

Empty metal and plastic paint containers are recycled were recycled whenever possible.

Section 2. Paint Collection Volume and Disposition Methods

Annual Report Statutory Citation

Sec. 22a-904a(h) The representative organization shall submit a report to the Commissioner of Environmental Protection that details the paint stewardship program. Such report shall include, but not be limited to:

(2) The volume of postconsumer paint collected in this state.

(3) The volume and type of postconsumer paint collected in this state by method of disposition, including reuse, recycling and other methods of processing.

A. PAINT SALES

Paint sales were 5,950,874 gallons in year two, a small increase over year one sales.

B. PAINT COLLECTION AND MANAGEMENT

B1. Collection Volume and Recovery Rate

The program processed 275,940 gallons in year two. This represented a 4.6% recovery rate (4.6% of 5,950,874 gallons sold). For budgeting and planning purposes, PaintCare estimated an annual recovery rate of 6%, or approximately 360,000 gallons of leftover paint (6% of the projected 6 million gallons sold), in the Connecticut Program Plan, for the first two years of the program.

Though the program did not achieve a 6% recovery rate, the collection volume increased by 15% in the second year, demonstrating increasing awareness and use of the program.

Further, as discussed in Program Plan, several factors make recovery rate goals and calculations problematic. Paint is designed to be fully consumed through application to walls, buildings, and other surfaces. Although the amount of postconsumer paint received through collection programs is measurable, it is very difficult to determine the precise quantity of postconsumer paint that is leftover and available for collection at any given time – a factor in recovery rate calculations. The lag time between the purchase of paint and the decision that the leftover paint is unwanted, and the additional time taken to return it to a drop-off site can vary greatly. In addition, architectural paint products have a long shelf life, so consumers purchasing paint in one year may not decide that the unused paint is unwanted for several years. For these reasons, PaintCare did not establish volume-based collection goals, but did commit to providing and examining recovery rate data for planning and budgeting purpose, and for comparison to baseline collection volumes as presented above.

B2. Latex vs. Oil-Based Paint

Of the 275,940 gallons of paint processed in year two, 79% (217,332 gallons) was latex paint and 21% (58,618 gallons) was oil-based paint. The split is year one was 70% latex, 30% oil-based paint.

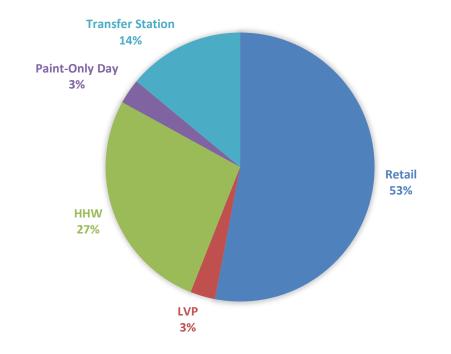
B3. Paint Management Methods

	YEAR 1	YEAR 1	YEAR 2	YEAR 2
LATEX PAINT DISPOSITION	GALLONS	%	GALLONS	%
Reuse	~ 500	< 1	244	< 1
Recycled Paint	139,959	81	178753	82
Alternative Daily Landfill Cover	6,949	4	13,200	6
Fuel	10,206	6	0	0
Disposal	15,263	9	25,125	12
Total	169,375	100	217,322	100
OIL-BASED PAINT DISPOSITION	GALLONS	%	GALLONS	%
Fuel	71,423	100	58,618	100
Total*	71,423	100	58,618	100

Summary of Paint Management

*An estimated 500 gallons of latex reuse was conducted by PaintCare's two reuse drop-off sites in year 1. Because it was only an estimate and records were not kept by the sites, the volume was not included in the latex paint total volume in year 1.

B4. Collection by Program Type



The following pie charts shows the breakdown of collection volume by program type.

C. CONTAINER RECYCLING

As described in Section 1 of this report, metal and plastic paint containers are recycled whenever possible. During year two, the program recycled an estimated 143 tons of paint containers. 111 tons were recycled in the first year.

Section 3. Independent Audit and Financial Summary

Annual Report Statutory Citation

Sec. 22a-904a(h) The representative organization shall submit a report to the Commissioner of Environmental Protection that details the paint stewardship program. Such report shall include, but not be limited to:

(4) the total cost of implementing the program, as determined by an independent financial audit; (5) an evaluation of the operation of the program's funding mechanism.

A. INDEPENDENT FINANCIAL AUDIT

An independent financial audit of the national PaintCare program was conducted by Rogers & Company PLLC. This independent CPA firm conducted the audit in accordance with auditing standards generally accepted in the United States. Those standards require that the firm plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatements. The audit process includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. The audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. In Rogers & Company's opinion, the financial statements of PaintCare present fairly, in all material respects, the financial position as of June 30, 2015, and the changes in its net assets and its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States. Please see Appendix B for the independent financial audit of the PaintCare program.

B. FINANCIAL SUMMARY AND DISCUSSION

B1. Expense Categories

Revenue is derived from fees on new paint sales. Expenses categories for the Connecticut program are discussed below.

Paint Processing. Paint processing costs are the largest budget item in all PaintCare state programs. Paint processing costs were billed based on the weight or by the box, and included the cost of sorting mixed boxes of latex and oil-based paint.

Paint Transportation. Paint transportation costs are another significant cost in all PaintCare state programs. Transportation costs were billed per cubic yard collection bin picked up and included a minimum stop charge.

Collection Supplies and Support. Collection support costs included paint collection bins (single use and reusable), spill kits, training materials, and miscellaneous supplies for drop-off sites to maintain safe and clean operations, as well as fees for paint-only events.

Communications. Communications costs included advertising and promotional materials to increase awareness of the program and use of the drop-off sites.

Personnel, Professional Fees, and Other. Personnel, professional fees and other included the proportional cost of one full-time employee managing both the Connecticut and Rhode Island programs, legal costs for developing and negotiating contracts, travel, office supplies, and other logistical and professional support.

State Administrative Fees. PaintCare pays State administrative fees in the amount to \$20,000 to the Department of Energy and Environmental Protection annually.

Corporate Activity. As of June 30, 2015, Connecticut's corporate allocation was 6.1%. Corporate costs are allocated among all PaintCare programs (states and jurisdictions) based on their relative population among the PaintCare programs.

Allocation of corporate activity was referred to as Administrative Costs in the approved Connecticut Program Plan. Administrative costs are those that do not directly benefit the Connecticut program but rather are indirectly beneficial to the program. They include but are not limited to corporate staffing, construction of data management systems, auditing fees, legal fees and general communications.

Investment Activity. Beginning in December 2014, PaintCare invested a portion of its accumulated surplus in a financial portfolio. PaintCare maintains its investments for all programs in a single portfolio. The ownership interest in the investment portfolio is shared by all state programs, and the ownership interest is allocated among the state programs based on relative net asset balances. State programs that have positive net asset balances have a claim on the assets invested in the portfolio; state programs with negative net asset balances carry a liability representing an amount due to the portfolio.

Earnings (and/or losses) generated by the portfolio are distributed to all PaintCare programs, based on ownership (or, relative net asset balance). When the portfolio rises in value, the rise in value is allocated to each state program based on its ownership interest. For those state programs that have a positive net asset balance, the rise in value is recorded as investment income and an increase to the amount due from PaintCare. For those state programs with negative net asset balances, the rise in value of the portfolio is recorded as interest expense and an increase to the amount due to PaintCare.

B2. Financial Summary

The following table shows program revenue and expenses during the first two years of the program. A discussion of any significant differences follows the table.

Program Activities	(Years 1 and 2)
---------------------------	-----------------

REVENUE	YEAR 1	YEAR 2
Larger than half pint to smaller than 1 gallon	\$ 408,243	\$ 470,065
1 gallon	2,403,101	2,463,209
Larger than 1 gallon up to 5 gallons	722,126	744,882
Total revenue	3,533,470	3,678,156
EXPENSES		
Paint processing	935,657	1,173,310
Paint transportation	390,260	438,863
Collection supplies and support	315,780	393,719
Communications	256,452	346,529
Personnel, professional fees and other	144,280	134,813
State administrative fees	40,000	20,000
Allocation of corporate activity	142,482	188,442
Total expenses	2,224,911	2,695,676
Allocation of investment activity	0	1,643
Change in net assets (revenue less expenses)	1,308,559	984,123
Net assets, beginning of reporting year	(495,872)	812,687
Net assets, end of reporting year	\$ 812,687	\$ 1,796,810

B3. Budget Discrepancies

Paint transportation, processing, and collection supplies/support costs were higher in this reporting period due to higher collection volumes. Communications costs also increased substantially during the second year; outreach was limited in the first year to avoid inundating retail drop-off sites at the start of the program. State admin fees were less in the second year because the first year incurred both the pre-program and annual fee.

Support services (e.g., communications and accounting staff) for all PaintCare programs increased during the second year of the Connecticut program. As a result, Connecticut's share also increased.

C. **RESERVES POLICY**

PaintCare has a Reserves Policy to define and quantify the reserves in each state program. The policy defines the reserves as "net assets" and establishes a minimum threshold of 16% of annual expenses (i.e., at least two months of operating expenses). This accumulated balance allows PaintCare programs to continue to operate in times of either higher than expected paint

collection or lower than expected retail paint sales (and revenue) – or a combination of the two. Reserves or "net assets" represent the accumulated surplus/deficit of the program.

In October 2014, the PaintCare Board of Directors amended the reserves policy to specify a target reserve amount of 50% of the annual expenses, with a maximum amount of 75% of annual expenses. The previous target was 25% (i.e., three months of operating expenses).

At the end of the second year, the Connecticut program had built surplus of \$1,796,810 – 67% of annual expenses.

However, as the Connecticut program is still relatively new, PaintCare expects increased collection volumes and costs for several years as awareness about the program grows. PaintCare anticipates reaching a program maturity with consistent collection volumes in fourth or fifth year of the program, as several years are needed to educate the full population of the state about the program.

D. EVALUATION OF THE PROGRAM'S FUNDING MECHANISM

As described in the Connecticut Program Plan, the program utilizes the following assessments ("PaintCare Fee"), based on paint container size.

PaintCare Fee Schedule

CONTAINER SIZE	FEE
Half pint or smaller	\$ 0.00
Larger than half pint to smaller than 1 gallon	\$ 0.35
1 gallon	\$ 0.75
Larger than 1 gallon up to 5 gallons	\$ 1.60

The funding mechanism, assessment structure, and system to receive sales reports and payments from manufacturers performed well during this first reporting period. The revenue generated and collected was sufficient to cover the expenses incurred. No adjustment to the fee structure or payment system is proposed at this time.

If the program reserves fall below the minimum threshold of 16% or beyond the maximum threshold of 75%, an evaluation of the programs expenses and/or revenue will be performed to determine if changes are needed in operations, outreach, or the assessment structure.

Section 4. Outreach

Annual Report Statutory Citation

Sec. 22a-904a(h) The representative organization shall submit a report to the Commissioner of Environmental Protection that details the paint stewardship program. Such report shall include, but not be limited to:

(6) samples of educational materials provided to consumers of architectural paint and an evaluation of the methods used to disseminate such materials.

A. OUTREACH ACTIVITIES

A1. Introduction

PaintCare's Program Plan for the Connecticut program includes a variety of outreach activities targeted to retailers, painting contractors, municipal agencies, and the general public through direct contact and advertising. The first year's outreach focused on recruiting drop of sites – retail, HHW programs, and transfer stations and phasing in outreach gradually. The intent of phasing in outreach was to allow drop-off sites to become comfortable with operations before implementing general advertising to the public to increase participation.

In the program's second year, outreach efforts were fully implemented statewide using a wide variety of media to encouraging people to recycle their paint and also included efforts to educate people on source reduction and reuse, i.e., buying the right amount of paint and using up leftover paint.

General outreach about the program was conducted through public radio, newspapers, billboards, and digital advertising. Nearly all advertising drove the public to PaintCare's website to learn more and to find a drop-off site using PaintCare's site locator search tool. Most newspaper advertisements listed retail drop-off sites. Generally, household hazardous waste programs did not wish to be promoted by PaintCare and are were not included in newspaper advertisements with a single exception described below in Joint Outreach Projects. The outreach activities utilized during year two are summarized here.

A2. Press Releases

PaintCare issued a press release in November 2014 to announce the publication of the first Annual Report for Connecticut. It garnered two newspaper articles, and four additional unsolicited newspaper or online articles were published in the first half of 2015.

A3. Print Materials for Consumers

PaintCare's outreach department fulfills orders of point-of-sale materials to retailers upon request. Materials available include posters, program brochures, minicards, and fact sheets for

painting contractors and the LVP service. During the second year, PaintCare distributed more than 25,000 brochures, minicards, and fact sheets. PaintCare fulfilled relatively few (25) requests for materials by mail compared to the number of deliveries made by the Connecticut program manager, who dropped off materials in person during her site visits.

In early 2015, PaintCare also began to distribute a new counter mat to retailers to use in the paint department to reference when customers have questions. The counter mat is more likely than the poster to be seen by customers while they wait for their paint to be mixed. The counter mat was very popular with retailers.



Program Poster, Brochure, Minicard, Counter, and Fact Sheets for Painting Contractor and LVP Service

A4. Print Materials for Stakeholders

The following materials were developed for stakeholder groups and primarily distributed during the initial efforts to launch the program in the first year. They are included in Appendix C because they are posted on PaintCare's website and still used occasionally.

- Fact sheet: How Does the Connecticut Paint Stewardship Program Affect Paint Retailers
- Fact sheet: How to Become a Retail Drop-Off Site
- Fact sheet: About PaintCare Fees
- Order form for print materials: This form is used by retailers for ordering brochures, posters, fact sheets, etc.
- Fact sheet for household hazardous waste programs
- Fact sheet for transfer stations/recycling facilities/landfills

A5. Website

Nearly all PaintCare advertising and outreach efforts directed the public to PaintCare's website for more information and to find a local PaintCare site. The most frequently visited part of PaintCare's website was the site locator tool on the page titled Drop-Off Locations.

PaintCare's website was redesigned and updated in June 2014. The website is easy to navigate and organized by state – and within each state by tabs (Everyone, Contractors, Retailers, Municipalities, and Official Docs). Below are screen shots of the Site Locator and Connecticut pages.

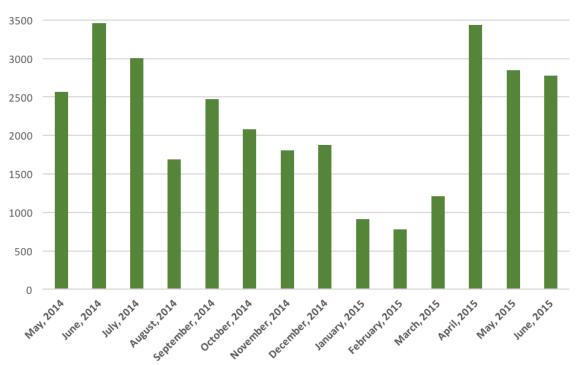
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	Drog-Off	locations			
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PaintCare's Site Locator Tool



The Connectcut section of PaintCare's Webpage

Website traffic from Connecticut had two peaks in June 2014 and April 2015, coinciding with newspaper and other advertising.



Website Traffic from Connecticut

A6. Translations

PaintCare translates consumer brochures and fact sheets into language of known ethnic groups in the state, especially those in the painting business, and upon requests from paint retailers or other stakeholders. PaintCare translated the program brochure and fact sheet for large volume pick-up service to Spanish. PaintCare also translated the painting contractor fact sheet to Spanish, Polish, and Portuguese. All materials are posted on the Rhode Island section of PaintCare's website.

A7. Public Radio

PaintCare ran sponsorships on Connecticut Public Radio. Sponsorships ran for 19 weeks during year two (October through December 2014 and in June 2015). The sponsorship used the following message: *"PaintCare's Connecticut Recycling Program, now with more than 100 drop off locations in Connecticut where residents and businesses can recycle their leftover paint. Online at Paint Care dot org. Buy Right, Use It Up, Recycle the Rest--with PaintCare."* NPR also offered PaintCare a promotional opportunity on their environmental reports program.

A8. Commercial Radio

PaintCare ran a series of radio spots featuring talking paint can characters (Malibu Pink, Taxicab Yellow and Forrest Green) on commercial radio in five markets for four weeks in September and October 2014.

A9. Television

From March through October 2015, PaintCare ran an average of 16 television commercials per week in alternating two week blocks – for two weeks on four broadcast stations, then two weeks on four cable stations. One commercial showed a woman using up leftover paint on small projects ("Use It Up"), while another showed a couple discussing how much paint to buy and then asking for advice at their paint store ("Buy Right"). PaintCare video files can be viewed at www.paintcare.org/media.



A10. Newspaper Advertising

PaintCare ran newspaper ads in fall 2014 and spring 2015 throughout the state to advertise retail drop-off sites; each site was promoted four times during this period. The following ads are examples from each campaign.



Example Newspaper Ad with Sites Listed for Fall 2014



Example Newspaper Ad with Sites Listed for Spring 2015

A11. Digital Advertising

PaintCare ran digital banner ads on a variety of news related websites in July, August and December 2014, and again in April and May 2015. PaintCare began a campaign in June 2015 with similar ads on Pandora digital radio as shown below.



Digital Ad on Pandora Radio

A12. Direct Mail to Realtors

Realtors are a target audience for PaintCare outreach efforts because they are in a key position to give advice to sellers about where to take old paint while they are getting a home ready to sell or to buyers who often find leftover paint when they move into a new home. To spread the word about where to take old paint, PaintCare mailed packets of 10 postcards to 205 realtor offices throughout the state to hand out to clients. The mailing included a cover note on how to order more cards.



A13. Outdoor (Billboards and Posters)

PaintCare ran billboards on Interstate 91 in Hartford in March 2015 and in New Haven in June 2015. Smaller posters were placed on train station platforms in Fairfield County in June 2015.



Example of Highway Billboard



Train Station Kiosk Posters Used to Promote PaintCare Event in Stamford

A14. Face-to-Face

The Connecticut program manager continued to visit with retailers and other drop-off sites regularly to make sure there were no issues, to help coach them on how to answer questions they receive from customers, provide brochures and other POS materials, and order signs. The manager also talked to customers in the stores when they were dropping off paint or buying paint and had questions.

She also visited PaintCare's municipal partners, usually public works department directors or transfer station workers to check on operational issues and answer questions. Visits were to discuss how to accommodate the program at their locations for those who were interested in becoming drop-off locations, then providing in-person training and subsequent visits for additional review of operations and to make sure the program was running smoothly.

In addition to this regular contact with drop-off sites, the Connecticut program manager was active with the following face-to-face events during the second year:

- Two Valspar Pro Shows focused on painting contractors and other potential users of the LVP service
- Connecticut Conference of Municipalities (Hartford) to explain the program to government officials
- Stakeholder meeting (Hamden) presentation on the program and annual report
- Solid Waste Advisory Committee (Connecticut Department of Energy and Environmental Protection) meeting (Hartford) presentation about annual report
- Connecticut Product Stewardship Council meetings to provide program updates
- Connecticut Recyclers Coalition conference (Southington) to network with recycling coordinators and answer questions about PaintCare
- Monthly meeting for the New Haven Chapter of the PDCA to provide updates on the program
- Painting and Decorating Contractors Association (PDCA) Pro Show (New Haven) to conduct outreach to painting contractors about program and LVP Service
- Northeast PDCA Conference (Springfield, MA) tabling to answer questions about the program, hand out informational brochures, and provide information regarding the LVP service
- Northeast Resource Recovery Association Conference and Expo (New Hampshire) presentation and tabling to answer questions about the program and hand out informational brochures

A15. Signage

PaintCare developed a number of signs for drop-off sites to help them let the public know about the program, screen program products, and address concerns they have about illegal

dumping. Drop-off sites may order the following signs. All signs are available in Spanish. Some signs are bilingual (English/Spanish).





DROP OFF SIGN Intended for the front of a store to let the public know that they can drop off paint.

PROGRAM PARTNER For HHW programs and transfer stations to let the public know that they can drop off paint. PROGRAM PRODUCTS Most often used near paint bins as a reference for staff to use to screen program products.



COMBINATION To Inform the public know that the site accepts paint and provide program products list.

NO DUMPING To post outside in areas where the public may be inclined to leave paint after hours. WAIT FOR ASSISTANCE For sites that prefer customers notify staff before starting to bring paint from their vehicles.

A16. Joint Outreach Projects

PaintCare offers creative and financial support to HHW and transfer station programs that are PaintCare partners. In the second year, PaintCare contributed to a newspaper ad to promote the Housatonic Resource Recovery Association's households hazardous waste event in May 2015. More information about joint outreach is available from PaintCare's website.

B. AWARENESS SURVEY

PaintCare conducted a "Paint Usage and Disposal Survey" in Connecticut in June 2013 using Survey Monkey, an online survey instrument. The survey was repeated in June 2014 and 2015. This survey is used to evaluate awareness of paint recycling options over time. PaintCare is especially interested in the questions related to how much paint people store in their homes and whether they know where to recycle unwanted paint. For a summary of all questions and answers, please see Appendix C.

Here are some highlights of the differences between the second and third survey:

- On average respondents indicated that they have less leftover paint at their home or business.
- The number of people who preferred to dry out paint and put it in the trash decreased by half.
- Those who preferred to store paint also decrease by almost half.
- The number of people who preferred to take paint to an HHW program decreased slightly, while the percentage who preferred to take it to a paint store increased slightly.
- The number of people who would currently put liquid paint in the trash increased from the first survey to the second, but decreased to almost none from the second to third survey.
- The number of people who would use retail drop-off sites nearly doubled, indicating awareness that paint stores now take back paint and of the PaintCare Program in general.
- The number of people who said they recycled paint in the past year nearly doubled.

C. **RECOMMENDATIONS**

PaintCare will continue to conduct outreach through various media activities, including television, radio, online and newspaper advertising.

 PaintCare's outreach should continue to look at a full range of media options to reach all parts of the state, and also continue to be sure that media does not cross borders into nearby states.

- The use and effectiveness of digital and social media strategies should be investigated. Digital advertising is likely to increase as people spend more time online each year. Digital and social media strategies can target relevant messages to specific geographic areas.
- Although newspaper circulation has decreased, PaintCare drop-off sites appreciate promotion. PaintCare will continue to use newspaper or other print-based advertising to promote sites.
- PaintCare should promote its joint outreach program to local governments to leverage their messaging and outreach for recycling and solid waste programs.
- As the program matures, the state manager may be able to attend more events and inperson meetings (e.g., home shows, painting contractor associations, etc.)
- PaintCare should develop materials that explain how paint is recycled (latex is turned back into paint or other products, oil-based paint is used as fuel, etc.). This type of content been requested by stakeholders in a few states, and will help increase awareness about paint recycling and inform people what happens to the paint after it is dropped off.
- PaintCare should promote that paint purchasers consult with their paint store to help determine how much paint to purchase. A new video about buying the right amount of paint, to use for a television commercial and digital advertising, was recently produced in Connecticut and will be used in all PaintCare states.

Appendix A

PAINTCARE CONNECTICUT

138 PERMANENT SITES - PAGE 1

City

Site Name

RETAIL (100)

Address

Avon	44 Hardware	320 W Main St
Avon	Mahers Paint & Wallpaper	369 W Main St
Avon	Sherwin-Williams	353 W Main St
Bethel	Rings End	9 Taylor Ave
Bethel	Stony Hill Hardware	34 Stony Hill Rd
Bethel	True Value of Bethel	211 Greenwood Ave
Bethlehem	True Value of Bethlehem	101 Main St S
Bloomfield	John Boyle Company	550 Cottage Grove Rd
Bolton	England True Value Hardware	661 Boston Tpke Rte 44
Branford	Branford Building Supplies	1145 Main St
Branford	Rings End	25 E Industrial Rd
Branford	Sherwin-Williams	2 Liesl Ln
Bridgeport	PPG Paints	1739 Main St
Bridgeport	Sherwin-Williams	46 Glenwood Ave
Bristol	City Hardware	750 Farmington Ave
Bristol	Sherwin-Williams	1197 Farmington Ave
Brookfield	Sherwin-Williams	2 Federal Rd
Canaan	C A Lindell True Value Hardware & Lumber	59 Church St
Canton	Larsen Ace Hardware	220 Albany Turnpike
Cheshire	R W Hine Hardware	231 Maple Ave
Clinton	Stewards Ace Hardware	114 E Main St
Cromwell	Sherwin-Williams	50 Berlin Rd
Danbury	Deeps Hardware	47 North St
Danbury	PPG Paints	211 White St
Danbury	Sherwin-Williams	1 Padanaram Rd
East Haddam	Shagbark True Value Hardware	21 Mt Parnassus Rd
East Hampton	East Hampton Ace Hardware	25 E High St
East Hartford	Sherwin-Williams	275 Park Ave
East Haven	Goodys True Value Hardware	540 Main St
East Lyme	Cash True Value Home Center	300 Flanders Rd
Enfield	Sherwin-Williams	85 Freshwater Blvd
Glastonbury	Katz Hardware	2687 Main St
Granby	Beman True Value Hardware	20 Hartford Ave

PAINTCARE CONNECTICUT

138 PERMANENT SITES - PAGE 2

City	Site Name	Address
Groton	Johnson True Value Hardware	40 Fort Hill Rd
Groton	Sherwin-Williams	750 Long Hill Rd
Hamden	Sherwin-Williams	2100 Dixwell Ave
Hebron	Hebron Ace Hardware	81 Main St POB 151
Killingworth	Killingworth True Value Hardware	228 Route 81
Lakeville	Ed Herringtons Inc	11 Farnum Rd
Ledyard	Holdridge Home & Garden True Value Hardware	749 Colonel Ledyard Hv
Litchfield	True Value Hardware of Litchfield	348 Bantam Rd
Madison	Tuxis Lumber Company	85 Bradley Rd
Manchester	Sherwin-Williams	100 Tolland Tpke
Manchester	Sherwin-Williams	257 Broad St
Middletown	Ace Home Center	480 S Main St
Milford	Colony Paint	282 Boston Post Rd
Milford	PPG Paints	773 Bridgeport Ave
Mystic	Cash True Value Home Center	9 Hendel Dr
Mystic	Mystic Paint and Decorating Center	50 Williams Ave
Naugatuck	H J Bushka Sons Lumber and Millwork	25 Great Hill Rd
Naugatuck	Sherwin-Williams	727 Rubber Ave
New Britain	John Boyle Company	152 S Main St
New Fairfield	Village Hardware	1 Brush Hill Rd
New Haven	Grand Paint & Carpet Center	451 Grand Ave
New Haven	PPG Paints	390 East St
New Haven	Sherwin-Williams	454 Whalley Ave
New Milford	H H Taylor & Sons True Value Hardware	85 Railroad St
New Milford	Rings End	140 Danbury Rd Rte 7
New Milford	Sherwin-Williams	116 Danbury Rd #4
Newtown	Newtown Hardware	61 Church Hill Rd
Newtown	Sherwin-Williams	255 S Main St
Niantic	Rings End	28 Hope St
North Branford	Country Paint and Hardware	2410 Foxon Rd
North Haven	North Haven Paint & Hardware	87 Quinnipiac Ave
North Haven	PPG Paints	2 Montowese Ave
North Haven	PPG Paints	310 Washington Ave
Norwalk	PPG Paints	106 Main St

Hwy

PAINTCARE CONNECTICUT 138 PERMANENT SITES - PAGE 3

Site Name

City

city	
Norwalk	Rings End
Norwalk	Sherwin-Williams
Norwich	Ace Home Center
Norwich	Sherwin-Williams
Old Saybrook	Sherwin-Williams
Orange	Sherwin-Williams
Oxford	Oxford Lumber & Building Materials
Putnam	Sherwin-Williams
Ridgefield	Keoghs Paint & Hardware
Ridgefield	Ridgefield Supply
Southington	PPG Paints
Southington	Sherwin-Williams
Stamford	Keoughs Paint & Hardware
Stamford	Sherwin-Williams
Storrs	Mansfield Supply Inc
Storrs	O L Willard Co
Stratford	Sherwin-Williams
Torrington	Sherwin-Williams
Wallingford	Paint Emporium
Wallingford	Sherwin-Williams
Waterbury	Brass City Paint & Decorating
Waterbury	PPG Paints
Waterbury	Schmidts & Serafines True Value
Waterbury	Sherwin-Williams
Waterford	Sherwin-Williams
West Hartford	John Boyle Company
West Hartford	PPG Paints
West Hartford	Sherwin-Williams
Wethersfield	Sherwin-Williams
Wethersfield	United Paint & Wallpaper
Willimantic	Cash True Value Home Center
Willimantic	O.L. Willard Co
Willimantic	Sherwin-Williams
Wilton	Rings End
Wilton	Wilton Hardware

Address

149 Westport Ave 304 Main Ave 146 West Town St 411 W Main St 783 Boston Post Rd 575 Boston Post Rd 113 Oxford Rd 239 Kennedy Dr 1 Ethan Allen Hwy 29 Prospect St 320 Queen St 405 Queen St 907 High Ridge Rd 1100 Hope St 1527 Storrs Rd 1753 Storrs Rd 425 Benton St 368 Winsted Rd 1114B N Colony Rd 927 N Colony Rd 579 Wolcott St 1225 Thomaston Ave 464 Chase Ave 997 Wolcott St 99 Boston Post Rd 176 Newington Rd 80 South St 1077 New Britain Ave 725 Silas Deane Hwy 669 Silas Deane Hwy 1561 W Main St 196 Valley St 1320 Main St Ste 15 129 Danbury Rd 21 River Rd

PAINTCARE CONNECTICUT

138 PERMANENT SITES - PAGE 4

	City	Site Name	Address	
	Winsted	True Value of Winchester	276 Main St	
	Woodbury	Ace Hardware of Woodbury	690 Main St S	
REUSE STOR	E (2)			
	Hamden	Urban Miners	30 Manila Ave	
	Newington	ReCONNstruction Center	731 New Britain Ave	
TRANSFER S	TATION (25)			
	Barkhamsted	Regional Refuse Disposal District One	31 New Hartford Rd	
	Berlin	City of New Britain - Recycling Center	642 Christian Ln	
	Bristol	City of Bristol	685 Lake Ave	
	Brooklyn	Town of Brooklyn	95 Ruckstella Rd (off Beecher Rd)	
	Burlington	Town of Burlington	66 Belden Rd	
	Canterbury	Town of Canterbury	111 Kinne Rd	
	Eastford	Town of Eastford	264 Westford Rd	
	Granby	Town of Granby	103 Old Stagecoach Rd	
	Griswold	Town of Griswold	1148 Voluntown Rd (rt 138)	
	Hartford	City of Hartford	180 Leibert Rd	
	Kent	Town of Kent	46 Maple St	
	Lebanon	Town of Lebanon	175 Exeter Rd	
	Ledyard	Town of Ledyard	881 Colonel Ledyard Hwy (J Alfred Clark Way)	
	Mansfield	Town of Mansfield	221 Warrenville Rd Mansfield Center	
	Morris	Town of Morris	254 Lakeside Rd	
	New London	City of New London	63 Lewis St	
	North Stonington	Town of North Stonington	191 Wintechog Hill Rd	
	North Windham	Town of WIndham	8 Industrial Park Dr	
	Norwich	City of Norwich	73 Rogers Rd	
	Orange	Town of Orange	100 South Orange Center Rd	
	Pawcatuck	Town of Stonington	152 Green Haven Rd	
	Portland	Town of Portland	Sand Hill Rd	
	Redding Center	Town of Redding	84 Hopewell Woods Rd	
	Ridgefield	Town of Ridgefield	55 South St	
	Rocky Hill	Town of Rocky Hill	2 Old Forge Rd	
	Salisbury	Town of Salisbury	309 Sharon Rd	
	Simsbury	Town of Simsbury	74 Wolcott Rd	

PAINTCARE CONNECTICUT 138 PERMANENT SITES - PAGE 5

City	Site Name
Southbury	Town of Southbury
Terryville	Town of Plymouth
Watertown	Town of Watertown
Wolcott	Town of Wolcott
Woodbridge	Town of Woodbridge

Address

231 Kettletown Rd 175 Old Waterbury Rd Old Baird Rd 48 Todd Rd 85 Acorn Hill Rd Ext

PAINTCARE CONNECTICUT SUPPLEMENTAL SITES AND EVENTS - PAGE 1

	City	Site Name	Address
RETAIL - LII	MITED (4)		
	Granby	Family Hardware	5 Bank St
	Norwalk	Sherwin-Williams	561-565 Connecticut Ave
	Waterbury	Glidden Professional Paint	720 Wolcott St #10
	Windsor	Windsor Ace Hardware	296 Broad St
SEASONAL	TRANSFER STATION	N (2)	
	Avon	Town of Avon	281 Huckleberry Hill Rd
	Essex	Town of Essex	5 Dump Rd
SEASONAL	HHW FACILITY (23)		
	Essex	River COG HHW Facility	Dump Road
	New Haven	Regional Water Authority	90 Sargant Drive
	Willington	MidNEROC	57 Hancock Rd.
HHW EVEN	IT (46)		
3 Event(s)	Berlin	TROC - New Britain	RC 642 Christian Ln
3 Event(s)	Bloomfield	MDC - Bloomfield	PW Bldg 21 Southwood Rd
3 Event(s)	Bridgeport	City of Bridgeport	425 Thormes St
3 Event(s)	Bristol	TROC - Bristol	PW Garage 95 Vincent P Kelly Dr
2 Event(s)	Clinton	RiverCOG - Satellite Clinton	117 Nod Rd
2 Event(s)	Colchester	SCRRRA - Colchester	Johnston MS 360 Norwich Ave
3 Event(s)	Danbury	HRRA - Danbury	PW - 53A Newtown Rd
2 Event(s)	East Hampton	RiverCog - Satellite East Hampton	WPCA 20 Gildersleeve Dr
3 Event(s)	East Hartford	MDC - East Htfd	E Htfd WPCF 65 Pitkin St
3 Event(s)	Fairfield	RWA - Satellite Fairfield	Vets Park 909 Reef Rd
2 Event(s)	Falls Village	NHCOG - Falls Village	PW Garage Railroad St
2 Event(s)	Farmington	MDC- Farmington High School	10 Monteith Dr
3 Event(s)	Gales Ferry	SCRRRA - Ledyard	7 Hurlbutt Rd
3 Event(s)	Griswold	SCRRRA - Griswold	Griswold Highway Garage 1148 Voluntown Rd (Rt 138)
3 Event(s)	Groton	SCRRRA - Groton	Groton TS 685 Flanders Rd
2 Event(s)	Hartford	MDC - Hartford MLK School	25 Ridgefield St
2 Event(s)	Harwinton	NHCOG - Torrington	Torrington WPCF 252 Bogue Rd
3 Event(s)	Madison	RWA - satellite Madison	1288 Boston Post Rd
1 Event(s)	Middlebury	NVCOG - Middlebury	Shepardson CC 1172 Whittmore Rd

PAINTCARE CONNECTICUT SUPPLEMENTAL SITES AND EVENTS - PAGE 2

City

Site Name

Address

3 Event(s)	Middletown	RiverCog - Satellite Middletown	Middlesex Community College 100 Training Hill Rd
2 Event(s)	Milford	RWA - satellite Milford	DPW garage 83 Ford St
1 Event(s)	Naugatuck	NVCOG - Naugatuck	City Hall MS 641 City Hill St
3 Event(s)	New Milford	HRRA (New Milford)	New Milford RR Station
2 Event(s)	Newington	MDC - Newington	Town Garage, 281 Milk Ln
2 Event(s)	Newtown	HRRA - Newtown	PW 4 Turkey Hill Rd
3 Event(s)	North Stonington	SCRRRA - North Stonington	PW 11 Wyassup Rd
2 Event(s)	Norwich	SCRRRA - Norwich	PW Garage 50 Clinton Ave
3 Event(s)	Oakdale	SCRRRA - Montville	Tyl MS 166 Chesterfield Rd
1 Event(s)	Oxford	NVCOG - Oxford	Quaker Farms School 30 Great Oak Rd
2 Event(s)	Painville	TROC - Plainville Roadway Garage	23 Granger Ln (off Rt 177)
3 Event(s)	Shelton	City of Shelton	Highway & Bridges Dept 41 Myrtle St
4 Event(s)	Simsbury	Towns of Avon, Granby, Canton & Simsbury	66 Town Forest Rd (Simsbury DPW
3 Event(s)	Southington	TROC - Southington	Town Garage 1 Delta Bitta Dr
2 Event(s)	Stonington	SCRRRA - Stonington	Hwy Dept 86 Alpha Ave
2 Event(s)	Suffield	Town of Suffield Town Garage	42 Ffyler Place
1 Event(s)	Terryville	TROC - Plymouth	80 Main St
1 Event(s)	Thompson	Town of Thompson	225 Buckley Hill Road
2 Event(s)	West Hartford	MDC - West Hartford Emanuel Synagogue	160 Mohegan Dr
2 Event(s)	Wethersfield	MDC - Wethersfield HS	411 Wolcott Hill Rd
1 Event(s)	Wethersfield	MDC Wethersfield	Webb School 51 Willow St
2 Event(s)	Windsor	MDC - Windsor Poquonock WPCF	1222 Poquonock Ave
3 Event(s)	Windsor Locks	MDC - Windsor Locks	PW Garage 6 Stanton Rd
1 Event(s)	Wolcott	TROC - Wolcott	48 Todd Rd
3 Event(s)	Woodbridge	RWA - satellite Woodbridge	89 Acorn Hill Rd
1 Event(s)	Woodstock	Town of Woodstock	Woodstock Town Hall 415 CT -169
ΡΔΙΝΤ-ΟΝΙ Υ	FVENT (5)		

PAINT-ONLY EVENT (5)

Danbury

Enfield

Middletown

New London

Stamford

Danbury PW Enfield Public Works Middletown New London Stamford 53A Newtown Rd 40 Moody Rd Lawrence School - Kaplan Dr Jefferson High School - 490 Jefferson Ave Rippowam MS 381 High Ridge Rd Appendix B Financial Audit

Financial Statements and Independent Auditors' Report

June 30, 2015 and 2014

Financial Statements June 30, 2015 and 2014

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Rogers & Company PLLC Certified Public Accountants

8300 Boone Boulevard Suite 600 Vienna, Virginia 22182

703.893.0300 voice 703.893.4070 facsimile www.rogerspllc.com

INDEPENDENT AUDITORS' REPORT

To the Board of Directors PaintCare Inc.

We have audited the accompanying financial statements of PaintCare Inc. ("PaintCare"), which comprise the statements of financial position as of June 30, 2015 and 2014, the related statements of activities and cash flows for years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform an audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.



Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of PaintCare as of June 30, 2015 and 2014, and the changes in its net assets and its cash flows for years then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matter

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplementary information included on pages 14-15 is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

2 Ours + Commy PLLC

Vienna, Virginia September 30, 2015

Statements of Financial Position June 30, 2015 and 2014

	2015	2014
Assets		
Current assets:	¢ 0.226.054	¢ 26.224.624
Cash Investments	\$ 9,236,954 27,056,886	\$ 26,234,634
Accounts receivable, net	7,353,455	6,642,433
Due from affiliate	7,555,455	34,800
Prepaid expenses	94,323	93,889
r repute expenses		75,007
Total current assets	43,741,618	33,005,756
Property and equipment, net	479,980	75,034
Total assets	\$ 44,221,598	\$ 33,080,790
Liabilities and Net Assets		
Liabilities		
Current liabilities:		
Accounts payable and accrued expenses	\$ 8,651,794	\$ 3,943,487
Due to affiliate	343,683	449,847
Total current liabilities	8,995,477	4,393,334
Total liabilities	8,995,477	4,393,334
Net Assets		
Unrestricted	35,226,121	28,687,456
Total net assets	35,226,121	28,687,456
Total liabilities and net assets	\$ 44,221,598	\$ 33,080,790

Statements of Activities For the Years Ended June 30, 2015 and 2014

	2015			2014	
Operating Revenue and Support	.		.		
Paint recovery fees	\$	46,570,607	\$	43,101,604	
Other income		83,824		224,888	
Total operating revenue and support		46,654,431		43,326,492	
Expenses					
Program and delivery services:					
Oregon		4,929,385		3,911,042	
California		24,280,786		16,182,408	
Connecticut		2,507,234		2,082,429	
Rhode Island		569,243		85,996	
Minnesota		3,550,929		222,624	
Vermont		960,256		313,719	
Maine		66,557		7,408	
Colorado		225,030		8,108	
District of Columbia		15,585		-	
Total program and delivery services		37,105,005		22,813,734	
General and administrative		3,164,681		2,148,857	
Total expenses		40,269,686		24,962,591	
Change in Net Assets from Operations		6,384,745		18,363,901	
Non-Operating Activities					
Interest income		343,103		37,217	
Net realized and unrealized loss		(189,183)		-	
Change in Net Assets		6,538,665		18,401,118	
Net Assets, beginning of year		28,687,456		10,286,338	
Net Assets, end of year	\$	35,226,121	\$	28,687,456	

Statements of Cash Flows For the Years Ended June 30, 2015 and 2014

	2015	2014
Cash Flows from Operating Activities		
Change in net assets	\$ 6,538,665	\$ 18,401,118
Adjustments to reconcile change in net assets to		
net cash provided by operating activities:		
Depreciation and amortization	22,954	5,400
Net realized and unrealized loss on investments	#REF!	-
Change in allowance for doubtful accounts		
receivable	15,527	34,398
Change in operating assets and liabilities:		
(Increase) decrease in:		
Accounts receivable	(726,549)	(2,295,322)
Due from affiliate	34,800	(34,800)
Prepaid expenses	(434)	19,015
Increase (decrease) in:		
Accounts payable and accrued expenses	4,708,307	1,266,342
Due to affiliate	(106,164)	167,877
Accrued interest	-	(122,340)
Net cash provided by operating activities	#REF!	17,441,688
Cash Flows from Investing Activities		
Purchases of investments	(28,935,060)	-
Proceeds from sale of investments	1,688,991	-
Purchases of property and equipment	(427,900)	(37,683)
		(27, (92)
Net cash used in investing activities	(27,673,969)	(37,683)
Cash Flows from Financing Activity		
Principal payments on notes payable	_	(3,220,000)
The payments on notes payable		(3,220,000)
Net cash used in financing activity		(3,220,000)
		14 104 005
Net (Decrease) Increase in Cash	#REF!	14,184,005
Cash, beginning of year	26,234,634	12,050,629
Cash, end of year	\$ #REF!	\$ 26,234,634

Notes to Financial Statements June 30, 2015 and 2014

1. Nature of Operations

PaintCare Inc. ("PaintCare"), a not-for-profit 501(c)(3) organization, was created in October 2009 by the American Coatings Association (ACA), who, working with state and local government stakeholders, passed the first ever paint product stewardship law in the United States in the state of Oregon in 2009 with implementation of the Oregon Program beginning July 1, 2010. Similar legislation has subsequently been passed in other jurisdictions. The legislation pilots an industry-led, end-of-life management program for post-consumer paint, which PaintCare operates. The PaintCare Board is made up of architectural paint manufacturers and participation in PaintCare is not limited to ACA members, but open to all architectural paint manufacturers. There are no dues or registration fees associated with PaintCare.

2. Summary of Significant Accounting Policies

Basis of Accounting and Presentation

PaintCare's financial statements are prepared on the accrual basis of accounting. Unrestricted net assets represent funds that are not subject to donor-imposed stipulations and are available for support of PaintCare's operations. At June 30, 2015 and 2014, all net assets were unrestricted.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates.

Investments

Investments are stated at fair value, based on quoted market prices. All realized and unrealized gains and losses are included in the accompanying statements of activities.

Accounts Receivable

Accounts receivable are recorded at net realizable value and represent amounts due from post-consumer paint recovery fees. PaintCare provides an allowance for bad debts using the allowance method, which is based on management's judgment considering historical information. Accounts are individually analyzed for collectability, and will be reserved based on individual evaluation and specific circumstances. When all collection efforts have been exhausted, the accounts are written off against the related allowance. At June 30, 2015 and 2014, an allowance of \$72,180 and \$56,653, respectively was recognized.

Notes to Financial Statements June 30, 2015 and 2014

2. Summary of Significant Accounting Policies (continued)

Property and Equipment

Property and equipment with a projected useful life ranging from three to ten years and in excess of \$1,000 are capitalized and recorded at cost. Depreciation and amortization are computed using the straight line method over the estimated useful lives of the individual assets, ranging from three to ten years.

Revenue Recognition

PaintCare recognizes revenue from post-consumer paint recovery fees at the time architectural paint product is sold by a manufacturer participant of the paint product stewardship program. Manufacturer participants in the program pay the PaintCare recovery fee to PaintCare based on the amount of program products they sell on a monthly basis.

Program participants report their monthly unit sales of paint through a secure, HTTPS online system using their unique user ID and password. The participant must pay a paint recovery fee per unit sold, based on container size, according to the established fee schedule for each state program. As the PaintCare recovery fee is added to the wholesale price of paint and passed through uniformly to the retail purchase price of paint—so that the manufacturer, distributor, and/or retailer is made whole—in some cases, distributors or retailers have elected to undertake the obligation of the manufacturer for these fees. Thus, PaintCare has allowed remitter agreements in the program, whereby a distributor or retailer reports and remits directly to PaintCare on behalf of a participant manufacturer's brand or brands. Reports and payments are due by the end of the month following the reporting period. Revenue from all other sources is recognized when earned.

Communications Costs

PaintCare holds communication-related contracts for advertising, marketing, and consumer awareness. Communications costs are charged to operations when incurred. Communications expenses were \$5,656,341 and \$2,451,411 for the years ended June 30, 2015 and 2014, respectively.

Functional Allocation of Expenses

The costs of providing the various program and supporting activities have been summarized on a functional basis in the accompanying financial statements. Accordingly, certain costs have been allocated among the programs and supporting services benefited. Programs are categorized by the states where services are conducted.

Notes to Financial Statements June 30, 2015 and 2014

2. Summary of Significant Accounting Policies (continued)

Subsequent Events

In preparing these financial statements, PaintCare has evaluated events and transactions for potential recognition or disclosure through September 30, 2015, the date the financial statements were available to be issued.

3. Concentration of Credit Risk

Financial instruments that potentially subject PaintCare to significant concentrations of credit risk consist of cash and investments. PaintCare maintains cash deposit and transaction accounts, along with investments, with various financial institutions and these values, from time to time, may exceed insurable limits under the Federal Depository Insurance Corporation (FDIC) and Securities Investor Protection Corporation (SIPC). PaintCare has not experienced any credit losses on its cash and investments to date as it relates to FDIC and SIPC insurance limits. Management periodically assesses the financial condition of these financial institutions and believes that the risk of any credit loss is minimal.

4. Investments and Fair Value Measurements

In December 2014, PaintCare invested a portion of its accumulated surplus in a portfolio with Bank of America/Merrill Lynch. The sole objective of the portfolio is to earn a return equal to the rate of inflation and thus preserve the purchasing power of its capital. Interest, dividends, changes in market value, and other investment activities are allocated to each state program based on the relative net asset balances of each state program. Oversight of the investments is provided by the PaintCare Budget and Finance Committee and by the PaintCare Board of Directors.

PaintCare follows Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, *Fair Value Measurements and Disclosures*, for its financial assets. This standard establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. Fair value measurement standards require an entity to maximize the use of observable inputs (such as quoted prices in active markets) and minimize the use of unobservable inputs (such as appraisals or other valuation techniques) to determine fair value. The categorization of a financial instrument within the hierarchy is based upon the pricing transparency of the instrument and does not necessarily correspond to the entity's perceived risk of that instrument.

Notes to Financial Statements June 30, 2015 and 2014

4. Investments and Fair Value Measurements (continued)

The inputs used in measuring fair value are categorized into three levels. Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and liabilities and have the highest priority. Level 2 is based upon observable inputs other than quoted market prices, and Level 3 is based on unobservable inputs. Transfers between levels in the fair value hierarchy are recognized at the end of the reporting period.

In general, and where applicable, PaintCare uses quoted prices in active markets for identical assets to determine fair value. This pricing methodology applies to Level 1 investments. Level 2 inputs include government securities, which are valued based on quoted prices in less active markets.

The following table presents PaintCare's fair value hierarchy for those assets measured on a recurring basis as of June 30, 2015:

		Level 1	Level 2	Level 3	Total
Equities:					
Energy	\$	459,357	\$ -	\$ - \$	459,357
Materials		219,712	-	-	219,712
Industrials		716,773	-	-	716,773
Consumer discretionary	,	1,018,215	-	-	1,018,215
Consumer staples		1,783,429	-	-	1,783,429
Health care		1,526,520	-	-	1,526,520
Financials		996,728	-	-	996,728
Information technology		840,667	-	-	840,667
Telecommunication					
service		290,700	-	-	290,700
Utilities		36,255	-	-	36,255
Blend		44,672	-	-	44,672
Mutual funds:					
Exchange traded funds		2,443,529	-	-	2,443,529
Fixed income		4,703,477	-	-	4,703,477
Corporate bonds		6,314,355	-	-	6,314,355
Cash equivalents		1,749,882	-	-	1,749,882
Government securities:					
U.S. Treasury		-	3,912,615	-	3,912,615
Total investments	\$	23,144,271	\$ 3,912,615	\$ - \$	27,056,886

Notes to Financial Statements June 30, 2015 and 2014

4. Investments and Fair Value Measurements (continued)

Investment income consisted of the following for the years ended June 30:

	 2015	2014		
Interest income Net realized and unrealized loss	\$ 343,103 (189,183)	\$	37,217	
Total investment income	\$ 153,920	\$	37,217	

PaintCare held no investments at June 30, 2014. The above interest income earned for the year ending June 30, 2014 relates to interest earned on PaintCare's operating accounts.

5. Accounts Receivable

Accounts receivable related to the following programs were due as follows at June 30:

	 2015	 2014
California	\$ 5,016,812	\$ 5,332,769
Minnesota	952,976	-
Oregon	593,157	545,469
Connecticut	572,369	611,331
Rhode Island	148,674	104,077
Vermont	141,647	 105,440
Accounts receivable Less: allowance for doubtful accounts	 7,425,635 (72,180)	 6,699,086 (56,653)
Total accounts receivable, net	\$ 7,353,455	\$ 6,642,433

Notes to Financial Statements June 30, 2015 and 2014

6. Property and Equipment

PaintCare held the following property and equipment at June 30:

	 2015	2014	
Software Computer equipment Furniture	\$ 447,270 43,160 20,878	3,160	54,464 28,944 -
Total property and equipment	511,308		83,408
Less: accumulated depreciation and amortization	 (31,328)		(8,374)
Property and equipment, net	\$ 479,980	\$	75,034

7. Notes Payable

PaintCare has entered into promissory notes payable with member companies in order to fund start-up costs incurred in connection with expanding their paint recovery fee program into new states. Initially, each note was negotiated at different times during 2012 and 2013 with an interest rate of 3.6% per annum. The total balance of the notes was due in 2015; however, PaintCare repaid the total outstanding balance in 2014.

8. Related Party

ACA, a related party, is a separate, 501(c)(6) nonprofit organization working to advance the needs of the paint and coatings industry and the professionals who work in it. Through advocacy of the industry and its positions on legislative, regulatory, and judicial issues at the federal, state, and local levels, it acts as an effective ally, ensuring that the industry is represented and fairly considered. ACA also devotes itself to advancing industry efforts with regard to product stewardship, through its signature Coating Care[®] resources, and focuses on advancements in science and technology through its technical conferences and journals, as well as online training opportunities. ACA incorporated PaintCare for the sole purpose of implementing programs for post-consumer architectural paint. ACA maintains a controlling interest in PaintCare through the ability to appoint its Board of Directors.

In February 2011, ACA and PaintCare entered into an affiliation agreement whereby ACA provides staffing, an office, office equipment and furniture, supplies, and other administrative support services. The term of the agreement is for one year and automatically renews for one-year terms unless canceled by either party.

Notes to Financial Statements June 30, 2015 and 2014

8. Related Party (continued)

For the years ended June 30, 2015 and 2014, the total administrative fees charged by ACA to PaintCare were \$987,782 and \$535,208, respectively. At June 30, 2015 and 2014, PaintCare owed ACA \$343,683 and \$449,847, respectively, which is recorded as due to affiliate in the accompanying statements of financial position. At June 30, 2015 and 2014, ACA owed PaintCare \$-0- and \$34,800, respectively, which is recorded as due from affiliate in the accompanying statements of financial position.

9. PCA Paint Stewardship, Inc.

Product Care Association (PCA) is a Canadian nonprofit industry association that manages product stewardship programs for household hazardous and special waste on behalf of its members across Canada. PCA incorporated PCA Paint Stewardship, Inc. (PCA-USA) as a nonprofit organization in order to expand its work in managing product stewardship programs into the United States. PCA is the sole member of PCA-USA.

On April 1, 2010, PaintCare entered into an agreement with PCA-USA for the purpose of providing administrative and operational support for the Oregon Architectural Paint Stewardship Pilot Program. The agreement commenced on April 1, 2010 and was scheduled to terminate on June 30, 2014, calling for a monthly management fee of \$16,667. Effective April 1, 2013, the terms of the agreement were amended as PCA-USA transitioned the majority of the administrative and operational activities to PaintCare. The amendment moved up the termination date to December 31, 2013 and decreased the monthly management fee to \$5,556. A subsequent amendment extended the termination of this agreement back to the original termination date of June 30, 2014.

For the years ended June 30, 2015 and 2014, management service fees under this agreement totaled \$-0- and \$70,207, respectively.

In addition to the contract described in the preceding paragraph, PCA-USA contracts with independent subcontractors to perform the collection, transportation, processing, and recycling services of post-consumer paint at pre-determined rate schedules. Contracts with the two largest subcontractors extended through December 31, 2013 with one-year renewal options. These contracts were extended and terminated on June 30, 2014. PCA-USA invoices PaintCare on a monthly basis to recover the payments made by PCA-USA to the subcontractors. Expenses related to the subcontractor activity are recorded in program and delivery expenses. For the years ended June 30, 2015 and 2014, expenses related to the subcontractor activity were \$-0- and \$3,322,305, respectively. Effective July 1, 2014 the subcontractor contracts were with PaintCare. There was no longer any reimbursements paid to PCA-USA during fiscal year 2015.

Notes to Financial Statements June 30, 2015 and 2014

10. Income Taxes

PaintCare is recognized as a tax-exempt organization under Internal Revenue Code (IRC) Section 501(c)(3), and is exempt from income taxes except for taxes on unrelated business activities. No tax expense is recorded in the accompanying financial statements for PaintCare, as there was no unrelated business taxable income. Contributions to PaintCare are deductible as provided in IRC Section 170(b)(1)(A)(vi).

Management evaluated PaintCare's tax positions, and concluded that PaintCare's financial statements do not include any uncertain tax positions.

SUPPLEMENTARY INFORMATION

Schedule of Activities, Organized by Program For the Year Ended June 30, 2015

	Oregon	California	Connecticut	Rhode Island	Minnesota	Vermont	Maine	Colorado	District of Columbia	General and Administrative	Total
Operating Revenue and Support Paint recovery fees \$ Other income \$	5 4,390,549 \$ 83,824	33,834,028 \$	3,678,156 \$	887,689 \$	3,124,672 \$	655,513 \$	- \$ -	- \$ -	- \$ -	- \$ -	46,570,607 83,824
Total operating revenue and support	4,474,373	33,834,028	3,678,156	887,689	3,124,672	655,513	-	-	-	-	46,654,431
Expenses Program and delivery services:											
Collection support	55,024	2,304,347	393,719	93,768	256,190	118,659	872	17,187	41	-	3,239,807
Transportation and processing	4,106,722	16,705,053	1,612,173	362,384	2,119,735	598,304	-	-	-	-	25,504,371
Communications	428,415	3,859,330	346,529	78,908	785,525	106,714	8,886	41,906	128	-	5,656,341
Legal fees	27,315	151,639	12,118	3,140	101,132	5,250	4,713	15,629	6,205	-	327,141
State agency administrative fees	40,000	355,509	20,000	-	106,621	30,000	-	-	-	-	552,130
Other program expenses	271,909	904,908	122,695	31,043	181,726	101,329	52,086	150,308	9,211	-	1,825,215
Total program and delivery services	4,929,385	24,280,786	2,507,234	569,243	3,550,929	960,256	66,557	225,030	15,585	-	37,105,005
General and administrative:											
Legal fees	-	-	-	-	-	-	-	-	-	383,271	383,271
Management fees	-	-	-	-	-	-	-	-	-	987,782	987,782
Insurance	-	-	-	-	-	-	-	-	-	126,284	126,284
Other expense	-	-	-	-	-	-	-	-	-	1,667,344	1,667,344
Total general and administrative	-	-	-	-	-	-	-	-	-	3,164,681	3,164,681
Total expenses	4,929,385	24,280,786	2,507,234	569,243	3,550,929	960,256	66,557	225,030	15,585	3,164,681	40,269,686
Change in Net Assets from Operations	(455,012)	9,553,242	1,170,922	318,446	(426,257)	(304,743)	(66,557)	(225,030)	(15,585)	(3,164,681)	6,384,745
Non-Operating Activities Investment income	-	-	-	-	-	-	-	-	-	153,920	153,920
Change in Net Assets Before Allocation of General and Administrative Activities	(455,012)	9,553,242	1,170,922	318,446	(426,257)	(304,743)	(66,557)	(225,030)	(15,585)	(3,010,761)	6,538,665
General and administrative allocation	(201,982)	(1,964,196)	(188,442)	(55,512)	(279,641)	(32,993)	(70,035)	(266,199)	(8,648)	3,067,648	_
Investment allocation	(1,470)	62,378	1,643	100	(3,331)	(1,671)	(276)	(486)	-	(56,887)	-
– Total Change in Net Assets	(658,464)	7,651,424	984,123	263,034	(709,229)	(339,407)	(136,868)	(491,715)	(24,233)	-	6,538,665
Net Assets (Deficit), beginning of year	1,189,949	27,462,632	812,687	(72,922)	(440,258)	(196,161)	(60,363)	(8,108)		-	28,687,456
Net Assets (Deficit), end of year	5 531,485 \$	35,114,056 \$	1,796,810 \$	190,112 \$	(1,149,487) \$	(535,568) \$	(197,231) \$	(499,823) \$	(24,233) \$	- \$	35,226,121
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Schedule of Activities, Organized by Program For the Year Ended June 30, 2014

	Oregon	California	Connecticut	Rhode Island	Minnesota	Vermont	Maine		General and dministrative	Total
Operating Revenue and Support Paint recovery fees Other income	\$ 4,083,877 \$ 224,888	35,237,602 \$	3,533,470 \$	5 104,152 \$	- \$	142,503 \$	- \$	- \$	- \$ -	43,101,604 224,888
Total operating revenue and support	4,308,765	35,237,602	3,533,470	104,152	-	142,503	-	-	-	43,326,492
Expenses										
Program and delivery services:										
Transportation and processing	3,273,591	11,751,474	1,325,917	23,264	-	138,926	-	-	-	16,513,172
Communications	286,868	1,854,534	256,452	12,119	4,745	36,236	-	457	-	2,451,411
Legal fees	-	220,777	2,580	-	-	-	-	-	-	223,357
State agency administrative fees	50,000	265,882	40,000	2,500	124,275	-	-	-	-	482,657
Collection support	49,714	1,527,401	315,780	16,274	23	60,369	-	-	-	1,969,561
Other program expenses	250,869	562,340	141,700	31,839	93,581	78,188	7,408	7,651	-	1,173,576
Total program and delivery services	3,911,042	16,182,408	2,082,429	85,996	222,624	313,719	7,408	8,108	-	22,813,734
General and administrative:										
Management fees	-	-	-	-	-	-	-	-	535,208	535,208
Legal fees	-	-	-	-	-	-	-	-	118,236	118,236
Insurance	-	-	-	-	-	-	-	-	102,666	102,666
Interest expense	-	-	-	-	-	-	-	-	73,560	73,560
Other expense		-	_	-	-		-	_	1,319,187	1,319,187
Total general and administrative		-	-	-	-	-	-	-	2,148,857	2,148,857
Total expenses	3,911,042	16,182,408	2,082,429	85,996	222,624	313,719	7,408	8,108	2,148,857	24,962,591
Change in Net Assets from Operations	397,723	19,055,194	1,451,041	18,156	(222,624)	(171,216)	(7,408)	(8,108)	(2,148,857)	18,363,901
Non-Operating Activities Interest income		-	-	-	-	-	-	-	37,217	37,217
Change in Net Assets Before Allocation of General and Administrative Acitivities	397,723	19,055,194	1,451,041	18,156	(222,624)	(171,216)	(7,408)	(8,108)	(2,111,640)	18,401,118
General and administrative allocation	(152,726)	(1,485,130)	(142,482)	(41,961)	(211,441)	(24,945)	(52,955)	-	2,111,640	-
Total Change in Net Assets	244,997	17,570,064	1,308,559	(23,805)	(434,065)	(196,161)	(60,363)	(8,108)	-	18,401,118
Net Assets (Deficit), beginning of year	944,952	9,892,568	(495,872)	(49,117)	(6,193)	-	-	-	-	10,286,338
Net Assets (Deficit), end of year	\$ 1,189,949 \$	27,462,632 \$	812,687 \$	(72,922) \$	(440,258) \$	(196,161) \$	(60,363) \$	(8,108) \$	- \$	28,687,456

Appendix C

Brochure Minicard Realtor Card Paint-Only Event Flyer Fact Sheets Print Materials Order Form Joint Outreach Form

What Is the Connecticut Paint Stewardship Program?

More than 700 million gallons of architectural paint is sold each year in the U.S., and it is estimated that about 10 percent of that goes unused and is available for recycling.

Until now, unused, leftover (postconsumer) paint has generally been managed through programs operated and paid for by local and state government agencies.

Starting in July 2013, a Connecticut law requires the paint and coatings industry to develop a financially and environmentally sustainable solution to manage postconsumer architectural paint. Under the new program, the paint industry will make paint recycling more convenient by setting up drop-off sites throughout the state where consumers can take their paint. PaintCare will also conduct outreach and education about buying the right amount and using up remaining paint.

Program Products

These products have fees and are accepted at drop-off sites:

- Interior and exterior architectural paints: latex, acrylic, water-based, alkyd, oil-based, enamel (including textured coatings)
- Deck coatings, floor paints (including elastomeric)
- Primers, sealers, undercoaters
- Stains
- Shellacs, lacquers, varnishes, urethanes (single component)
- Waterproofing concrete/masonry/wood sealers and repellents (not tar or bitumen-based)
- Metal coatings, rust preventatives
- Field and lawn paints

Non-Program Products

- Paint thinners, mineral spirits, solvents
- Aerosol paints (spray cans)
- Auto and marine paints
- Art and craft paints
- Caulking compounds, epoxies, glues, adhesives
- Paint additives, colorants, tints, resins
- Wood preservatives (containing pesticides)
- Roof patch and repair
- Tar and bitumen-based products
- 2-component coatings
- Deck cleaners
- Traffic and road marking paints
- Industrial Maintenance (IM) coatings
- Original Equipment Manufacturer (OEM) (shop application) paints and finishes

Note: Leaking, unlabeled, and empty containers are not accepted at drop-off sites.

For information about recycling and proper disposal of non-program products, please contact your garbage hauler, local environmental health agency, household hazardous waste program, or public works department.



Recycle with PaintCare

About the Connecticut Program



Who Is PaintCare?

PaintCare is a non-profit 501(c)(3) organization, established by the American Coatings Association to implement state-mandated paint stewardship programs on behalf of paint manufacturers in states that adopt a paint stewardship law.

PaintCare is currently running or preparing to implement programs in California, Connecticut, Oregon, and Rhode Island.

Paint Drop-Off Sites

PaintCare will establish drop-off sites at paint retail stores, government-run household hazardous waste programs, transfer stations and other locations across Connecticut. PaintCare sites will accept latex paint (and other water-based program products) from all residents and businesses and oil-based program products from all residents and most businesses. To find a site near you, visit www.paintcare.org or call (855) 724-6809.



Recycling New and Old Paint

PaintCare will make sure your paint gets recycled or properly disposed of at stateauthorized paint processing facilities. You can drop off new paint and old paint, even if it is over 20 years old!

Funding and Fees

The program is funded by a fee that paint manufacturers pay to PaintCare for each container of architectural paint sold in Connecticut. Manufacturers pass the fee to distributors and retailers, who include the fee in the purchase price of paint. Fees are by container size as follows:

Half pint or less	\$ 0.00
More than half pint to	
less than 1 gallon	\$ 0.35
1 gallon	\$ 0.75
More than 1 gallon up to 5 gallons	\$ 1.60

Retail stores can choose whether or not to display the fee separately on sales receipts.

The fee is not a deposit – you do not receive it back when you drop off paint for recycling.

For more details, please visit www.paintcare.org or call (855) 724-6809.

What Products Are Covered?

Architectural paint or "Program Products" are defined as interior and exterior architectural coatings sold in containers of 5 gallons or less. They do not include aerosol, industrial, original equipment, or specialty coatings.

(See back panel for examples of program and non-program products.)

Who Can Use the Program?

In addition to residents, this program is available to trade painters, contractors and other businesses with one restriction: If your business produces more than 26 gallons of hazardous waste per month, you may only use the drop-off sites for your latex paint but not for your oil-based paint. To learn more about the 26 gallon restriction, contact PaintCare or the Connecticut Department of Energy and Environmental Protection.

Limits on Quantities at Drop-Off Sites

Drop-off sites may establish limits on the quantity of products they accept. Generally, the limit in small stores will be lower than in large stores. Please check with the site in advance if you have more than 5 gallons.



Find a drop-off site near you: (855) 724-6809 • www.paintcare.org

Buy right. Use it up. Recycle the rest.

Manufacturers of paint created PaintCare, a nonprofit organization, to set up convenient places for you to recycle leftover paint. We're working to provide environmentally sound and cost-effective recycling programs in your state and others with paint stewardship laws.

LEARN MORE

Visit **www.paintcare.org** or follow us on Facebook for tips on how to buy the right amount of paint, store paint properly, use up leftover paint, and find a drop-off site. We also have a free pick-up service for businesses or households with at least 300 gallons of paint to recycle.



Do you want the old paint the sellers left behind? Probably not. But we do!

The sellers thought you would use it for touchups. Maybe you will use it up. If not, now's the time to recycle that old paint. Whether it's from last week or 20 years ago, you can recycle most paint, stain and varnish at one of the many PaintCare sites throughout the state.

PaintCare is a nonprofit organization formed by paint companies to make it more convenient to recycle old paint in states with paint stewardship laws.

Find a drop-off location near you, and see what we accept: www.paintcare.org (855) 724-6809





Do the people buying your house want your old paint? Probably not. But we do!

While getting your property ready to sell, you probably found some old paint. Unless you know somebody will use it up, now's the time to recycle it. Whether it's from last week or 20 years ago, you can recycle most paint, stain and varnish at one of the many PaintCare sites throughout the state.

PaintCare is a nonprofit organization formed by paint companies to make it more convenient to recycle old paint in states with paint stewardship laws.

Find a drop-off location near you, and see what we accept: www.paintcare.org (855) 724-6809





PAINT ONLY Drop-Off Events for Households and Businesses in Connecticut

5 SATURDAY EVENTS IN SPRING 2015, 9 am - 1 pm

April 18	Danbury Public Works • 53A Newtown Rd • Danbury
April 25	Lawrence School • Kaplan Dr • Middletown
May 30	New London High School • 490 Jefferson Ave • New London
June 6	Enfield Public Works • 40 Moody Rd • Enfield
June 20	Rippowam Middle School • 381 High Ridge Rd • Stamford

WHAT PAINT PRODUCTS CAN YOU BRING?

Even though we have more than 140 year-round paint drop-off locations in Connecticut (and 25 in Rhode Island), these events are good opportunities if you have lots of old paint, stain, or varnish to recycle. There are no limits on the amount of water-based (latex) paint. Households may also bring unlimited amounts of most types of paint, stain and varnish. **Businesses may only bring latex paint.** If your business has oil-based paints to recycle, please call us at (855) 724-6809 for information on how to recycle them.

◎ WE CANNOT ACCEPT

- No leaking, unlabeled or empty containers
- No aerosol spray paints
- No drums or containers larger than 5 gallons
- No other hazardous waste or chemicals, such as thinners, solvents, spackle, glue, roofing tar, pesticides, cleaning chemicals

WE ACCEPT

This event is for the following products only:

- House paint (latex or oil-based)
- Stains
- Deck and concrete sealers
- Clear finishes (e.g., varnish, shellac)
- Primers

Learn more about what we accept at www.paintcare.org/products-we-accept

Visit www.paintcare.org or call (855) 724-6809 to find paint drop-off sites.

Paint manufacturers created PaintCare, a non-profit organization to set up more convenient places for you to recycle leftover paint. We have drop-off sites throughout Connecticut where you can take smaller amounts of paint all year long.





Connecticut Paint Stewardship Program

How Does the Connecticut Paint Stewardship Program Affect Paint Retailers?

Connecticut's paint stewardship law requires paint manufacturers to establish a Paint Stewardship Program in Connecticut. Program funding comes from a fee applied to the price of architectural paint sold in Connecticut.

PROGRAM START DATE: JULY 1, 2013

Paint Stewardship

PaintCare Inc. is a non-profit organization established by the American Coatings Association to implement state-mandated paint stewardship programs on behalf of paint manufacturers in states that adopt paint stewardship laws. In addition to operating the Connecticut Program, PaintCare also operates programs in California, Colorado, Connecticut, Maine, Minnesota, Oregon, Rhode Island, and Vermont. PaintCare is also planning a program for the District of Columbia.

Convenient Paint Recycling

PaintCare's primary effort is to establish paint drop-off sites throughout each PaintCare state at paint retailers and other locations in order to provide convenient recycling opportunities for the public. Other locations include municipal household hazardous waste facilities and events, solid waste transfer stations, and landfills. PaintCare has established more than 1,500 in eight states. 140 of these sites are in Connecticut.

Participation as a Drop-Off Site is Voluntary

Retailers who wish to serve as drop-off sites are able to do so if they have adequate space for paint storage bins and can provide minimal staff time to accept paint from the public. By doing so, retailers can increase foot traffic and sales and provide an environmental service for their community. They make it convenient for their customers to recycle leftover paint and help provide relief to local government programs that currently manage leftover paint. PaintCare provides storage bins, supplies, and site training. PaintCare also pays for paint transportation and recycling and promotes the sites to the local community.

REQUIREMENTS OF RETAILERS

1. Check Registered Manufacturers and Brands

Retailers may not sell architectural paints in Connecticut that are not registered. Paint manufacturers must register their company with PaintCare, and they must register all architectural paint brands they sell in the state. PaintCare and the Connecticut Department of Energy and the Environment publish lists of registered manufacturers and brands on their websites so that retailers can confirm that the products they sell are registered. Please visit www.paintcare.org for registration lists.

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2. Pass on the Stewardship Fee

State law requires that a stewardship fee ("PaintCare Fee") must be applied by manufacturers to the wholesale price of all architectural paint sold in

Connecticut. This fee pays for all aspects of running the program. It is paid by manufacturers to PaintCare and then passed to their dealers. Retailers should see the PaintCare Fee on invoices from suppliers after the program starts. The law also requires that retailers and distributors apply the fee to the purchase price of architectural paint they sell. The fee paid by the customer to the retailer offsets the fee charged by the manufacturer or distributor to the retailer. All manufacturers, distributors, and retailers selling architectural paint in the state must pay and pass down the fee, ensuring a level playing field for all parties.

COMMON QUESTIONS ABOUT FEES

How much are the fees?

Although fees may change and vary by state, fees in Connecticut and other PaintCare states are currently the same. Fees are by container size:

\$ 0.00 – Half pint or smaller
\$ 0.35 – Larger than half pint to smaller than 1 gallon
\$ 0.75 – 1 Gallon

\$ 1.60 – Larger than 1 gallon to up 5 gallons

How are the fees calculated?

Fees are set to cover the cost of a fully operating program on a state-by-state basis. PaintCare estimated the annual sales of architectural paint in Connecticut and divided the estimated annual expenses of the program by the number of containers to be sold in the state – taking into account the typical amount of leftover paint for each container size. Fees may be decreased in the future if they were set higher than what is needed to cover program expenses, or fees may be increased if they were set too low.

Is the fee a deposit to be returned to customers?

No, the fee is not a deposit. Fees are used entirely to cover the expenses of running the program and not given back as a deposit for the return of paint or empty paint cans – a common misunderstanding.

Must we show the fee on receipts?

No, but most stores do show the fee in order to explain the price increase. PaintCare encourages retailers to show the fee and list it as PaintCare Fee to aid in customer education and provide consistency across the program.

Do we return the fee if a product is returned?

Yes, the fee should be returned, because it is part of the purchase price.

Is sales tax applied to the fee itself?

Yes, the fee is part of the purchase price; therefore, sales tax is collected on the fee.

Do we apply the fee to sales on the first day of the program for inventory purchased before the first day of the program, even though we didn't pay a fee for the product to the distributor or manufacturer? Yes, retailers must add the fee on all covered products sold on or after the first day of the program, regardless of when they were purchased from the distributor or manufacturer. Fees collected on old or existing inventory stay with the retailer; they are not paid back to the distributor or manufacturer.

How will the public know about the fee?

PaintCare provides printed materials for retailers to distribute to the public to help explain the purpose of the fee, how the program works, and how to find a paint drop-off location. Before the program started, PaintCare provides a "starter pack" of brochures and other information materials to all paint retailers. Additional materials can be ordered as needed. The cost of the materials is covered by the program. In addition to retailer information, PaintCare works with contractor associations to get information to trade painters, and conducts general outreach including newspaper, radio, television, and on-line advertising.



Connecticut Paint Stewardship Program Become a Retail Drop-Off Site for Paint

PaintCare makes it easy for paint retailers to provide a convenient and valuable service for their community.

A state law passed in 2013 requires paint manufacturers to set up and operate a paint stewardship program in Connecticut. The new law requires paint retailers to add a stewardship assessment (fee) to architectural paint products and to make sure they are not selling unregistered brands of architectural paint. Retailers may also volunteer to be paint drop-off sites. Retailers that would like to learn more about what it takes to join the program to be drop-off sites are encouraged to contact PaintCare for details.

Paint Stewardship Program in Colorado

PaintCare Inc. is a non-profit organization established by the American Coatings Association to implement this program on behalf of paint manufacturers in PaintCare States (those that adopt paint stewardship laws). PaintCare operates programs in California, Colorado, Connecticut, Maine, Minnesota, Oregon, Rhode Island, and Vermont. PaintCare is also planning a program for the District of Columbia.

Convenient Paint Recycling

PaintCare's primary effort is to establish paint drop-off sites throughout each PaintCare state to provide convenient recycling options for the public. The program allows households and businesses to return unused architectural paint to drop-off locations throughout each PaintCare state.

PaintCare has established more than 1,500 paint dropoff sites in eight states. Most drop-off sites are at paint stores. Other sites include solid waste transfer stations and government-sponsored household hazardous waste programs. Participation as a drop-off site is voluntary.



Benefits to Retailers

There are many benefits to becoming a drop-off site.

As a participating retailer, you will:

- Make recycling of leftover paint more convenient for your customers
- Support the paint industry's effort to lead the way in being responsible for end-of-life management of its own products
- Promote your store as environmentally responsible
- Increase customer foot traffic and sales
- Help relieve local government of their cost of managing leftover paint
- Help prevent the improper disposal of paint in your community

PaintCare Provides

- Listing of your store as a drop-off site on our website, and in advertisements and promotional materials
- Paint storage bins
- Transportation and recycling services for the collected paint
- Training materials and staff training at your site
- Program brochures, signage and customer education materials
- Spill kits



Drop-Off Site Responsibilities

- Provide secure storage area for paint storage bins
- Accept all brands of leftover program products from the public during operating hours
- Place unopened program products in storage bins
- Keep storage bins neat and properly packed
- Complete minimal paperwork related to tracking outgoing paint shipments
- Ensure staff are trained in PaintCare program guidelines and operating procedures
- Display "drop-off site" signs in store window and display consumer education materials

WHAT PRODUCTS ARE COVERED?

The products accepted at PaintCare drop-off sites are the same products that have a fee when they are sold. PaintCare products include interior and exterior architectural coatings sold in containers of 5 gallons or less. However, they do not include aerosol products (spray cans), industrial maintenance (IM), original equipment manufacturer (OEM), or specialty coatings.

PaintCare Products

- Interior and exterior architectural paints: latex, acrylic, water-based, alkyd, oil-based, enamel (including textured coatings)
- Deck coatings, floor paints (including elastomeric)
- Primers, sealers, undercoaters
- Stains
- Shellacs, lacquers, varnishes, urethanes (single component)
- Waterproofing concrete/masonry/wood sealers and repellents (not tar or bitumen-based)
- Metal coatings, rust preventatives
- Field and lawn paints

Non-PaintCare Products

- Paint thinners, mineral spirits, solvents
- Aerosol paints (spray cans)
- Auto and marine paints
- Art and craft paints
- Caulking compounds, epoxies, glues, adhesives
- Paint additives, colorants, tints, resins
- Wood preservatives (containing pesticides)
- Roof patch and repair
- Asphalt, tar and bitumen-based products
- 2-component coatings
- Deck cleaners
- Traffic and road marking paints
- Industrial Maintenance (IM) coatings
- Original Equipment Manufacturer (OEM) (shop application) paints and finishes



Paint Stewardship Programs in the U.S.

About PaintCare Fees

Laws in PaintCare States* require retailers to add a stewardship assessment to architectural paint products and make sure they are not selling unregistered brands of architectural paint.

Paint Stewardship Programs

PaintCare Inc. is a non-profit organization established by American Coatings Association to implement paint stewardship programs on behalf of paint manufacturers in states that pass paint stewardship laws. The main goals of the program are to decrease paint waste and recycle more postconsumer paint by setting up convenient drop-off sites in each state.

1. What is the Recovery Fee and how does it work?

The PaintCare program is funded through a paint stewardship assessment called the *PaintCare Fee* – fees are applied to the purchase price of architectural paint. The fees fund collection, transportation, and processing of unused postconsumer paint, public education about proper paint management, and administrative costs. The fee is paid to PaintCare by paint manufacturers. This fee is then added to the wholesale and retail purchase price of paint, passing the cost of managing postconsumer paint to everyone who purchases paint. This reduces municipal and state government costs for paint management and provides a funding source for a more convenient, statewide paint management program.

2. Do retailers have to pass on the fee?

Yes, each state's law requires retailers to pass on the fee to consumers, ensuring a level playing field for all parties.

What are the fees?

Fees are currently the same in all PaintCare States. Fees are based on container size as follows:

\$ 0.00 – Half pint or smaller	
\$ 0.35 – Larger than half pint to smaller th	an 1 gallon
\$ 0.75 – 1 Gallon	
\$ 1.60 – Larger than 1 gallon up to 5 gallor	is

3. How are fees calculated?

Fees are set to cover the cost of a fully implemented program. Working with paint manufacturers, PaintCare estimates annual sales of architectural paint in each state and then divides the cost of the total program in that state by the number of containers sold in that state. Next, the fees are adjusted based on container size by taking into consideration the typical percentage of unused paint for each size (e.g., the percentage of unused paint from one 5-gallon container is typically less than from five 1-gallon containers).

PaintCare is a non-profit organization, so the fees may be decreased if set at a level beyond what is needed to cover program expenses. Likewise, the fees may be increased if PaintCare does not collect enough money to cover the costs to operate the state program.

4. Are retailers required to show the fee on the receipt?

No, but PaintCare encourages retailers to do so and to display it as *PaintCare Fee* to aid in consumer education. Most stores in PaintCare states choose to show the fee on their receipts in order to inform the consumer about the PaintCare program and to explain the price increase.

5. Do retailers return the fee if someone returns a product?

Yes. The fee should be returned as part of the purchase price.

* As of October 2015, PaintCare has programs in California, Colorado, Connecticut, Maine, Minnesota, Oregon, Rhode Island, and Vermont. PaintCare is also planning a program for the District of Columbia (September 2016).

6. Is the fee taxable?

Yes, the fee is part of the purchase price of paint. Sales tax is collected on the fee, except in a state that does not have a sales tax, such as Oregon.

7. Is the fee to be applied to paint sold to customers who are exempt from sales taxes?

Yes, government agencies and other organizations that are exempt from sales taxes in PaintCare states (except for Oregon which has no sales tax) must still pay the fee, because it is part of the price of paint.

8. Is the fee a deposit that is returned to customers when they bring paint to a drop-off site?

No, the fee is not a deposit. The fees are used entirely to cover the cost of running the program.

9. Do retailers add the fee on sales starting on the first day of the program (i.e., on inventory purchased before the first day) even though they did not pay fees on inventory to the distributor or manufacturer? [For new programs] Yes, in order to provide for a hard start date, retailers must add the fee on all inventory sold on or after the start date of the program. Fees collected on existing inventory stay with the retailer; they are not paid back to the distributor or manufacturer.

10. How does the public know about the fee?

PaintCare provides public education materials to retailers. These materials explain the purpose of the fee, where to take paint for recycling, and other information about the program. When a new state program begins, PaintCare mails a "starter pack" of materials to retailers. As needed, retailers may order additional free materials from PaintCare. In addition to retailer information, PaintCare works with contractor associations to get information to trade painters, and conducts general outreach including newspaper, radio, television, and on-line advertising.

11. How do we as a retailer know what products to put the fee on?

Your supplier's invoice should indicate that you are being charged the fee, so you simply pass on the fee for those items. Additionally, PaintCare and each state's oversight agency list all architectural paint manufacturers and brands that are registered for the program on their websites. Retailers may not sell brands that are not registered with the program. If your store sells architectural coatings that are not on the list of registered products, please notify PaintCare so we can contact the manufacturer to get them registered.

12. What Products Are Covered?

The products accepted at PaintCare drop-off sites are the same products that have a fee when they are sold. PaintCare Products include interior and exterior architectural coatings sold in containers of 5 gallons or less. However, they do not include aerosol products (spray cans), industrial maintenance (IM), original equipment manufacturer (OEM), or specialty coatings.

PAINTCARE PRODUCTS

- Interior and exterior architectural paints: latex, acrylic, water-based, alkyd, oil-based, enamel (including textured coatings)
- Deck coatings, floor paints (including elastomeric)
- Primers, sealers, undercoaters
- Stains
- Shellacs, lacquers, varnishes, urethanes (single component)
- Waterproofing concrete/masonry/wood sealers and repellents (not tar or bitumen-based)
- Metal coatings, rust preventatives
- Field and lawn paints

NON-PAINTCARE PRODUCTS

- Paint thinners, mineral spirits, solvents
- Aerosol paints (spray cans)
- Auto and marine paints
- Art and craft paints
- Caulking compounds, epoxies, glues, adhesives
- Paint additives, colorants, tints, resins
- Wood preservatives (containing pesticides)
- Roof patch and repair
- Asphalt, tar, and bitumen-based products
- 2-component coatings
- Deck cleaners
- Traffic and road marking paints
- Industrial Maintenance (IM) coatings
- Original Equipment Manufacturer (OEM) (shop application) paints and finishes



Connecticut Paint Stewardship Program

Information for Painting Contractors

Connecticut's Paint Stewardship Program began July 2013.

The Connecticut Paint Stewardship law requires paint manufacturers to set up and operate a paint stewardship program in Connecticut. The program is funded by a fee on each container of architectural paint sold in the state. The program sets up drop-off sites at retail stores and other sites throughout the state where households and businesses are able to take most types of leftover paint for recycling, free of charge.

Paint Stewardship

The American Coatings Association (ACA) worked with various stakeholders interested in the management of postconsumer paint to develop a Paint Stewardship Program in the United States. PaintCare Inc. is a nonprofit organization established by ACA to implement the program on behalf of paint manufacturers in states that adopt paint stewardship laws. PaintCare operates programs in California, Colorado, Connecticut, Maine, Minnesota, Oregon, Rhode Island, and Vermont. PaintCare is also planning a program for the District of Columbia.

The main goals of the program are to decrease paint waste and recycle more postconsumer paint by setting up convenient drop-off sites in each PaintCare state.



Fees and Funding

As required by state law, a paint stewardship assessment ("PaintCare Fee") must be added by manufacturers to the wholesale price of all architectural paint sold in the state. This fee is paid by manufacturers to PaintCare to fund setting up drop-off sites for leftover, postconsumer paint, and for the transportation, recycling, and proper disposal of that paint. The fees also pay for consumer education and program administrative costs.

The fees are based on container size as follows:

\$ 0.00 – Half p	int or smaller
\$ 0.35 – Large	r than half pint to smaller than 1 gallon
\$ 0.75 – 1 Gal	on
\$ 1.60 – Large	r than 1 gallon to 5 gallons

The law also requires that each distributor and retailer include the PaintCare Fee with their sale price of architectural paint sold in the state. Displaying the fee on invoices and receipts is not mandatory for distributors or retailers; however, PaintCare encourages them to show the fee and list it as "PaintCare Fee" to aid in customer and dealer education and to ensure transparency.

Notice for Painting Contractors

It is expected that contractors will pass the fees on to their customers in order to recoup the fees they pay on the product.

When estimating jobs, contractors should take these fees into account by checking with your suppliers to make sure the quotes for paint products include the fees. You should also let your customers know that you will be including these fees in your quotes.

New Paint Drop-Off Sites

PaintCare has established 140 paint drop-off sites across Connecticut. Most drop-off sites are paint stores. Other sites include certain solid waste transfer stations, recycling centers, and government-sponsored household hazardous waste programs. Participation as a drop-off site is voluntary.

Use of Retail Drop-Off Sites by Businesses

Retail drop-off sites provide a convenient and no cost recycling option for painting contractors and other businesses. Businesses that generate less than 220 pounds of hazardous waste* per month will be able to use these sites to recycle all program products (both water and oil-based) with some restrictions on quantities per month.

Larger businesses (those that generate more than 220 pounds of hazardous waste per month) may use the drop-off sites for their water-based program products only; they are not able to use the sites for oil-based paint or other solvent-based products.

*220 pounds is about 20-30 gallons of paint. When counting how much hazardous waste you generate in a month, oilbased paint counts (because by law it is a hazardous waste), but latex and other water-based paint does not count toward the 220 pound monthly total.

Pick-Up Service for Large Volumes

Businesses with at least 300 gallons of postconsumer paint to recycle may qualify to have their paint picked up by PaintCare at no additional cost. To learn more about this service or to request an appointment, please call (855) 724-6809.

What Products Are Covered?

Architectural paints ("PaintCare Products") are defined as interior and exterior architectural coatings sold in containers of 5 gallons or less. However, they do not include aerosol products (spray cans), industrial maintenance (IM), original equipment manufacturer (OEM), or specialty coatings.

PAINTCARE PRODUCTS

- Interior and exterior architectural paints: latex, acrylic, water-based, alkyd, oil-based, enamel (including textured coatings)
- Deck coatings, floor paints (including elastomeric)
- Primers, sealers, undercoaters
- Stains
- Shellacs, lacquers, varnishes, urethanes (single component)
- Waterproofing concrete/masonry/wood sealers and repellents (not tar or bitumen-based)
- Metal coatings, rust preventatives
- Field and lawn paints

NON-PAINTCARE PRODUCTS

- Paint thinners, mineral spirits, solvents
- Aerosol paints (spray cans)
- Auto and marine paints
- Art and craft paints
- Caulking compounds, epoxies, glues, adhesives
- Paint additives, colorants, tints, resins
- Wood preservatives (containing pesticides)
- Roof patch and repair
- Asphalt, tar, and bitumen-based products
- 2-component coatings
- Deck cleaners
- Traffic and road marking paints
- Industrial Maintenance (IM) coatings
- Original Equipment Manufacturer (OEM) (shop application) paints and finishes



Large Volume Pick-Up (LVP) Service

PaintCare offers a free pick-up service to painting contractors, property managers, and others with large amounts of leftover architectural paint.

Who is PaintCare?

PaintCare Inc. is a non-profit organization established by the American Coatings Association to operate paint stewardship programs on behalf of paint manufacturers in states that pass paint stewardship laws.

Paint Drop-Off Sites

In states with a paint stewardship program, PaintCare's primary effort is to set up conveniently located drop-off sites—places where residents and businesses may take their unwanted paint for no charge. Sites set their own limits on the volume of paint they accept from customers per visit (usually from 5 to 20 gallons). To find a drop-off site near you, please use PaintCare's site locator at www.paintcare.org or call (855) 724-6809.

Large Volumes Pick-Ups

For those that have accumulated a large volume or stockpile of paint, PaintCare also offers a pick-up service. Large volume means at least 300 gallons, measured by container size (not content). On a caseby-case basis, PaintCare may approve a pick-up for less than 300 gallons if there are no drop-off sites in your area. After two or three pick-ups, you may be switched to a regular service (see next page).

Drums and Bulked Paint Are Not Accepted

PaintCare only accepts paint in containers that are 5 gallons or smaller in size. Leave paint in original cans with original labels; do not combine or bulk paint from small cans into larger ones. If you have unwanted paint in drums or containers larger than 5 gallons, please contact a licensed paint recycling company or a hazardous waste transportation company to assist you.

HOW TO REQUEST A LARGE VOLUME PICK-UP

1. Sort and count your paint

We need to know the number of each container size and the type of products you have, sorted into two categories: (1) water-based paints and stains and (2) oil-based paint and stains and any other program products (sealers and clear top-coat products, such as varnish and shellac).

2. Fill out the LVP Request Form and send it in Fill out a paper or electronic version of the "Large Volume Pick-Up Request Form" and return it to PaintCare by email, fax, or regular mail. (Visit www.paintcare.org or call PaintCare for the form.)

Scheduling

After reviewing your form, PaintCare staff will either approve your site for a pick-up or inform you of the best place to take your paint if you do not meet the volume requirement. If you qualify for a pick-up, you will be put in contact with our licensed hauler to schedule a pick-up. It may be several weeks before your pick-up occurs.

On the Day of Your Pick-Up

Sort your products into the two categories noted above and store them in an area that has easy access. If the paint is a far distance from where the hauler parks, the



path between should be at least four feet wide to accommodate movement of the boxes.

Please plan to have staff available to pack the paint cans into the boxes. The hauler may be able to provide some assistance, but we require your staff to be present and provide labor to pack boxes. Once your paint is properly packed and loaded onto the hauler's truck, you will sign a bill of lading and receive a copy for your records. Your paint will then be taken to an authorized processing facility for sorting and recycling.

Note: Paint must be in original containers and not leaking.

Repeat Service for Large Volume Users

For businesses that generate large volumes of unwanted paint on a regular basis, a service for recurring direct pick-ups is available. With this service, you will be provided with empty bins, then request a pick-up when at least three bins are filled. PaintCare will provide onsite training on how to properly pack the paint, and you will be required to sign a contract with PaintCare.

Limits on Businesses

If your business generates more than 220 pounds (20-30 gallons depending on the type) of hazardous waste per month, you may use PaintCare's programs (drop-off sites and the pick-up service) for water-based program products only. You will not be able to use the program for oil-based products.

If your business generates less than 220 pounds of hazardous waste per month, you may use PaintCare programs for both water-based program products and oil-based program products. As a business, you will need to certify that you meet this requirement.

Note: When calculating how much hazardous waste you generate in a month, do not count latex paint.

If You Have Products We Don't Accept

The program does not accept all paints (such as aerosols and automotive finishes) or other hazardous waste. If you have solvents, thinners, pesticides, or any non-PaintCare products (see list to right for examples), we recommend that residents contact their local household hazardous waste (HHW) program. Some HHW programs allow businesses to use their program for a modest fee. Otherwise, businesses should contact a licensed hazardous waste transportation company.

What Products Are Covered?

Architectural paints ("PaintCare Products") are defined as interior and exterior architectural coatings sold in containers of 5 gallons or less. However, they do not include aerosol products (spray cans), industrial maintenance (IM), original equipment manufacturer (OEM), or specialty coatings.

PAINTCARE PRODUCTS

- Interior and exterior architectural paints: latex, acrylic, water-based, alkyd, oil-based, enamel (including textured coatings)
- Deck coatings, floor paints
- Primers, sealers, undercoaters
- Stains
- Shellacs, lacquers, varnishes, urethanes
- Waterproofing concrete/masonry/wood sealers and repellents (not tar or bitumen-based)
- Metal coatings, rust preventatives
- Field and lawn paints

NON-PAINTCARE PRODUCTS

- Paint thinners, mineral spirits, solvents
- Aerosol paints (spray cans)
- Auto and marine paints
- Art and craft paints
- Caulking compounds, epoxies, glues, adhesives
- Paint additives, colorants, tints, resins
- Wood preservatives (containing pesticides)
- Roof patch and repair
- Asphalt, tar, and bitumen-based products
- 2-component coatings
- Deck cleaners
- Traffic and road marking paints
- Industrial Maintenance (IM) coatings
- Original Equipment Manufacturer (OEM) (shop application) paints and finishes



PRINT MATERIALS ORDER FORM

For free PaintCare brochures, factsheets, posters and other items

CONNECTICUT

Please complete this form and send to PaintCare. Indicate the quantities you would like below.

Store Name Street Address	
City/State/Zip	
Contact Person Phone	
Email	

PROGRAM BROCHURE

General information about the PaintCare Program



Quantity English Spanish

MINI-CARD

Quick reference to help customers find drop-off sites



Quantity English Spanish

PROGRAM POSTER

General PaintCare information on 1 page (8.5 x 11)

Quantity



English

PAINTING CONTRACTOR FACT SHEET General information for painting contractors

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BROCHURE HOLDER

Keep the brochures neat and in one place. Would you like a brochure holder for the counter?



Yes
No

LARGE VOLUME PICK-UP FACT SHEET

How to request a pickup for 300 gallons or more



SEND COMPLETED FORM TO:

Email: retail@paintcare.org Fax: (855) 385-2020 Mail: PaintCare, 1500 Rhode Island Ave. NW, Washington DC 20005 Phone: (855) 724-6809



Connecticut Paint Stewardship Program Information for HHW Programs

A law benefiting household hazardous waste programs in Connecticut took effect in July 2013.

The Connecticut Paint Stewardship Law, passed in 2011, requires paint manufacturers to establish a Paint Stewardship Program in Connecticut. Municipal Household Hazardous Waste Programs that participate can save money on paint management costs. Program funding comes from an assessment (fee) on the sale of architectural paint sold in Connecticut starting in July 2013.

Paint Stewardship Program in Connecticut

PaintCare Inc. is a non-profit organization established by the American Coatings Association to implement state-mandated paint stewardship programs on behalf of paint manufacturers in each state that adopts a paint stewardship law.

PaintCare has established 1,500 paint drop-off sites in eight states: California, Colorado, Connecticut, Maine, Minnesota, Oregon, Rhode Island, and Vermont. 140 of these sites are in Connecticut. PaintCare is also planning a program for the District of Columbia.

Making Paint Recycling More Convenient

PaintCare has established drop-off sites statewide for households and businesses to take leftover architectural paint. Although most drop-off sites are at paint retailers, municipal household hazardous waste (HHW) programs and waste transfer stations may also volunteer to be PaintCare drop-off sites and have their paint transportation and recycling/disposal costs paid by PaintCare.



- Make recycling of leftover paint more convenient
- Savings on transportation and recycling/disposal
- Conserve resources and keep paint out of the solid waste stream
- Allow residents to bring paint with other HHW in one trip to one site

PaintCare Partners Receive

- Collection bins
- Transportation and recycling/disposal services
- Staff training at your site
- Program guidelines
- Free publicity of HHW site or event (optional)

Drop-Off Site Responsibilities

- Provide secure storage area for collection bins (cubic yard boxes or drums)
- Accept program products from the public during normal operating hours
- Properly pack program products in collection bins
- Assist with loading and unloading of full and empty storage bins
- Complete minimal paperwork related to tracking outgoing paint shipments
- Ensure staff are trained in PaintCare program guidelines and operating procedures

Will PaintCare Require Operational Changes?

- If your program does not currently accept latex paint, PaintCare will not require you to do so. If you wish to start accepting latex paint, PaintCare will cover the transportation and disposal costs.
- If your program does not currently accept paint from businesses, PaintCare will not require you to do so. If you wish to start accepting paint from businesses, PaintCare will cover the transportation and disposal costs.
- PaintCare may choose to only contract with one transporter to pick up paint from all PaintCare sites in Connecticut, whether retail or HHW. If your current hazardous waste transporter is not the same company, a second hauler will transport paint from your event or facility.

Water-Based (Latex) Paint is a Resource

An important goal of the PaintCare Program is to conserve resources and increase the volume of paint that is recycled. Many HHW programs in Connecticut do not accept water-based paint because it is expensive to manage and is not classified as hazardous. Residents and businesses are often instructed to let water-based paint dry out and then dispose of the dry paint in the trash. Through the PaintCare program, all paint including latex will be treated as a resource and recycled to the maximum extent possible.

WHAT PRODUCTS ARE COVERED?

Architectural paints ("PaintCare Products") are defined as interior and exterior architectural coatings sold in containers of 5 gallons or less. However, they do not include aerosol products (spray cans), industrial maintenance (IM), original equipment manufacturer (OEM), or specialty coatings. Here are examples:

PaintCare Products

- Interior and exterior architectural paints: latex, acrylic, water-based, alkyd, oil-based, enamel (including textured coatings)
- Deck coatings, floor paints (including elastomeric)
- Primers, sealers, undercoaters
- Stains
- Shellacs, lacquers, varnishes, urethanes (single component)
- Waterproofing concrete/masonry/wood sealers and repellents (not tar or bitumen-based)
- Metal coatings, rust preventatives
- Field and lawn paints

Non-PaintCare Products

- Paint thinners, mineral spirits, solvents
- Aerosol paints (spray cans)
- Auto and marine paints
- Art and craft paints
- Caulking compounds, epoxies, glues, adhesives
- Paint additives, colorants, tints, resins
- Wood preservatives (containing pesticides)
- Roof patch and repair
- Asphalt, tar and bitumen-based products
- 2-component coatings
- Deck cleaners
- Traffic and road marking paints
- Industrial Maintenance (IM) coatings
- Original Equipment Manufacturer (OEM) (shop application) paints and finishes



Connecticut Paint Stewardship Program Information for Solid Waste Transfer Stations, Recycling Facilities and Landfills

Connecticut's paint stewardship law supports paint collection activities at waste transfer stations.

Paint Stewardship Program in Connecticut

A law passed in 2011 requires manufacturers of architectural paint to establish a Paint Stewardship Program in Connecticut. Municipal and private waste transfer stations can participate by offering paint recycling to their customers and municipal agencies. The cost of transportation and recycling of program products is paid by the Program. Funding for the Program comes from an assessment (known as the "PaintCare Fee") on the sale of architectural paint sold in Connecticut starting in July 2013.

PaintCare Inc. is a non-profit organization established by the American Coatings Association to implement state-mandated paint stewardship programs on behalf of paint manufacturers in each state that adopts a paint stewardship law.

PaintCare has established 1,500 paint drop-off locations in eight states: California, Colorado, Connecticut, Maine, Minnesota, Oregon, Rhode Island, and Vermont. PaintCare is also planning a program for the District of Columbia.

Making Paint Recycling More Convenient

PaintCare has established drop-off sites statewide for households and businesses to take leftover architectural paint. Although most drop-off sites are at paint retailers, municipal and private transfer stations, and household hazardous waste (HHW) programs may also volunteer to be PaintCare drop-off sites.

Benefits to Transfer Stations

- Make recycling of leftover paint more convenient for your community
- Help Connecticut conserve resources and keep paint out of the solid waste stream
- Save money on municipally generated leftover paint

PaintCare Partners Receive

- Storage bins
- Transportation and recycling services for the collected paint
- Program brochures and signage
- Free publicity (optional) if your site allows the public to drop off program products



Water-Based (Latex) Paint is a Resource

An important goal of the PaintCare Program is to conserve resources and increase the volume of paint that is recycled. For many years, households and businesses in Connecticut have been instructed to let water-based paint dry out and then dispose of the dry paint in the trash. Few transfer stations in Connecticut recycle latex paint because it is expensive to manage. Through the PaintCare program, latex paint will be treated as a resource and recycled to the maximum extent possible.



Drop-Off Site Responsibilities

- Provide secure storage area for storage bins (drums or cubic yard boxes shown above)
- Accept program products from your customers during normal operating hours
- Properly pack program products in storage bins
- Assist transporter with loading and unloading of full and empty storage bins
- Complete minimal paperwork related to tracking outgoing paint shipments
- Ensure staff are trained in PaintCare program guidelines and operating procedures

WHAT PRODUCTS ARE COVERED?

Architectural paints ("PaintCare Products") are defined as interior and exterior architectural coatings sold in containers of 5 gallons or less. However, they do not include aerosol products (spray cans), industrial maintenance (IM), original equipment manufacturer (OEM), or specialty coatings. Here are examples:

PaintCare Products

- Interior and exterior architectural paints: latex, acrylic, water-based, alkyd, oil-based, enamel (including textured coatings)
- Deck coatings, floor paints (including elastomeric)
- Primers, sealers, undercoaters
- Stains
- Shellacs, lacquers, varnishes, urethanes (single component)
- Waterproofing concrete/masonry/wood sealers and repellents (not tar or bitumen-based)
- Metal coatings, rust preventatives
- Field and lawn paints

Non-PaintCare Products

- Paint thinners, mineral spirits, solvents
- Aerosol paints (spray cans)
- Auto and marine paints
- Art and craft paints
- Caulking compounds, epoxies, glues, adhesives
- Paint additives, colorants, tints, resins
- Wood preservatives (containing pesticides)
- Roof patch and repair
- Asphalt, tar and bitumen-based products
- 2-component coatings
- Deck cleaners
- Traffic and road marking paints
- Industrial Maintenance (IM) coatings
- Original Equipment Manufacturer (OEM) (shop application) paints and finishes



Joint Outreach Projects

THE BASICS

Introduction

If you are a local government that has partnered with PaintCare, we offer limited funding support for outreach activities that involve PaintCare. We are most interested in partnering with you when we are setting up new PaintCare drop-off sites, where participation is low, and when we are promoting one day HHW events to boost the amount of paint we can collect at one time. We have supported radio, newspaper and direct mail advertising and will consider other media.

Review and Approval

Project budgets and all creative work must be reviewed and pre-approved by PaintCare. Creative work includes text, images, and scripts. When possible, all projects must include PaintCare's website address and logo and mention that people can find PaintCare drop-off sites in other areas (via our website).

Proposal Form

Please complete our Proposal Form for Joint Outreach Projects and email it to your PaintCare Regional Coordinator, State Program Manager, or info@paintcare.org

The Word version of the form can be downloaded from the "municipalities" section of each state's page at www.paintcare.org.

The pdf version is here: www.paintcare.org/wp-content/xxform-joint-outreach.pdf

WHAT WE ASK OF YOU

Print-Based

For print materials (brochures, postcards, etc.) you will be responsible for sending artwork files to your printer, coordinating mailings, and distribution. After the project is completed, along with your invoice, we ask for a description of how, when, and where the piece was distributed or used, and an electronic copy of the final piece.

Newspaper

For newspaper ads, you will be responsible for sending artwork files to the newspaper and scheduling. At the start of the project, we ask you to provide PaintCare with draft text, dimensions and due dates for the ads. After the project is completed, we ask for a list of run dates for each newspaper and a scan or clipping of each ad.

Radio

For radio advertising, you will be responsible for providing the preapproved scripts to the stations and handling scheduling. After the project is completed, along with your invoice, we ask you to provide text of the final script with a list of run dates and times. If available, we would also like an audio file of the actual recording aired on the radio.

Other

For other types of projects, we will ask you for similar things – you will coordinate everything and send PaintCare supporting documentation along with your invoice so we have a record of the projects and examples to show others.

DESIGN ASSISTANCE

PaintCare can provide assistance with basic layout and graphic design using our in-house staff. When we provide this type of assistance we will provide electronic files for you to send for printing or ad placement. Other than editing and commenting on scripts, we do not provide in-house assistance with audio or video production. Please allow plenty of time for project planning, approvals, and some backand-forth editing. Depending on the time of year, this may take 4-8 weeks.

REIMBURSEMENT

PaintCare provides reimbursements for pre-approved projects only. We do not provide money up front, pay vendors directly, or accept requests for reimbursements on projects that have already been completed. Generally PaintCare will reimburse for half of the total direct costs for pre-approved projects; however, we may make exceptions and offer a lower or higher amount based on how much of the message is dedicated to PaintCare, our budgets, and other PaintCare outreach taking place in your area.

To be reimbursed, send PaintCare an invoice from your government agency, samples of the final pieces as noted previously, and copies of invoices from your vendors. We ask you to combine them into one PDF and send via email to Paul Fresina at pfresina@paint.org. The "To:" space on the invoice should be addressed to PaintCare Inc., 1500 Rhode Island Ave NW, Washington DC, 20005. In the space for purchase orders please write "5839." See sample invoice on next page. Program Poster Window Cling Store Signs Counter Mat Sign Order Form



Paint Recycling Program

About the PaintCare Program

PAINTCARE

Paint manufacturers created PaintCare, a non-profit organization, to set up convenient places for households and businesses to recycle leftover paint. PaintCare sets up paint drop-off sites throughout states that adopt paint stewardship laws.

PAINTCARE PRODUCTS

These products have fees when purchased and will be accepted for free at PaintCare drop-off sites:

- Latex paints (acrylic, water-based)
- Oil-based paints (alkyd)
- Stains
- Primers and undercoaters
- Shellacs, lacquers, varnishes, urethanes
- Deck and floor paints
- Sealers and waterproofing coatings for wood, concrete and masonry

♥ NON-PAINTCARE PRODUCTS

- Paint thinners and solvents
- Aerosol paints (spray cans)
- Auto and marine paints
- Paint additives, colorants, tints, resins
- Wood preservatives (containing pesticides)
- Asphalt, tar and bitumen-based products
- 2-component coatings
- Coatings used for Original Equipment Manufacturing or shop application
- Any non-coatings (caulk, spackle, cleaners, etc.)

FEES

PaintCare fees are applied to the purchase price of architectural paint sold in the state as required by state law. Fees are applied to each container and vary by the size of the container as follows:

Half pint or less	\$ 0.00
More than half pint to less than 1 gallon	\$ 0.35
1 gallon	\$ 0.75
More than 1 gallon up to 5 gallons	\$ 1.60

For more information or to find a place to take your unwanted paint for recycling, please ask for the PaintCare brochure, visit **www.paintcare.org** or call **(855) 724-6809**. <image>

Recycle with PaintCare



Recycle your paint here.

[DURING BUSINESS HOURS ONLY]

ACCEPTABLE

- Latex house paint
- Oil-based house paint
- Primer
- Stains and sealers
- Varnish and shellac

LATEX CEILING

• All brands

O NOT ACCEPTABLE

- Paint thinner
- Two-component paints
- Aerosols
- Other chemicals

PLEASE DON'T BRING CONTAINERS THAT ARE

- Leaking
- Unlabeled

LATEX

• Empty

Recycle with PaintCare

For a complete list of acceptable products, please ask for the PaintCare brochure, call **(855)** 724-6809 or visit **www.paintcare.org**.

Oil-Based



We are a PaintCare Partner

Fees on the sale of paint in Connecticut help pay for our program.

Recycle with PaintCare

PAINT

To learn more, please call (855)724-6809 or visit www.paintcare.org.



We're a PaintCare **Drop-Off Site**

WE ACCEPT

- Latex House Paint
- Oil-Based House Paint
 Sealers
- Primer

O WE CAN'T ACCEPT

- Aerosols (Spray Cans)
 Thinner and Solvent
- Auto and Marine Paints
 Caulk and Spackle
- 2-Part Paints
- Road Marking Paint
- Industrial Paint
- Tints and Resins

CONTAINERS

- No larger than 5 gallons
 Must not be leaking
- Must have original labels
 Must have secure lids

- Stains

 - Varnish and Shellac

 - Wood Treatment/ Preservatives
 - Deck Cleaner
 - Tar/Asphalt Products



Program Products

These products have fees and are accepted at drop-off sites:

- Interior and exterior architectural paints: latex, acrylic, water-based, alkyd, oil-based, enamel (including textured coatings)
- Deck coatings, floor paints (including elastomeric)
- Primers, sealers, undercoaters
- Stains
- Shellacs, lacquers, varnishes, urethanes (single component)
- Waterproofing concrete/masonry/ wood sealers and repellents (not tar or bitumen-based)
- Metal coatings, rust preventatives
- Field and lawn paints

Products must be in original containers with original labels.

Latex paint that is dried out and "rock hard" is also acceptable.

Non-Program Products

These products do not have fees and are not accepted at drop-off sites:

- · Paint thinners, mineral spirits, solvents
- Aerosol paints (spray cans)
- Auto and marine paints
- Arts and crafts paints
- Caulking compounds, epoxies, glues, adhesives
- Paint additives, colorants, tints, resins
- Wood preservatives (containing pesticides)
- Roof patch and repair
- Tar and bitumen-based products
- 2-component coatings
- Deck cleaners
- Traffic and road marking paints
- Industrial Maintenance (IM) coatings
- Original Equipment Manufacturer (OEM) (shop application) paints and finishes

Leaking, unlabeled, and empty containers are not accepted at drop-off sites.



To learn more, please call (855) 724-6809 or visit www.paintcare.org



Please wait for staff assistance when dropping off leftover paint.

GLOSS INTERIOR PAINT

Espere a que le atienda un empleado de la compañía cuando vaya a desechar pintura sobrante.

Please wait for staff assistance when dropping off leftover paint.

Recycle with PaintCare



NO DUMPING

STOP! IT'S ILLEGAL to dump or abandon Paint, Oil, or other Hazardous Waste



THIS AREA MAY BE UNDER VIDEO SURVEILLANCE

Violators Will Be Prosecuted

WE CAN HELP RECUCE YOUR PAINT www.paintcare.org

PAINT RECYCLING MADE EASY

paintcare

Paint manufacturers formed PaintCare, a nonprofit organization, to make paint recycling more convenient, cost effective, and environmentally sound. Paint doesn't belong in the trash or down the drain. If you can't use it up, recycle it with PaintCare.

We're setting up locations in your state where you can bring old paint for free all year-round.

PAINTCARE PRODUCTS

(YOU CAN RECYCLE THESE) These products have fees when you buy them and are accepted for free when you drop them off for recycling:

• Water-based paints

- (latex, acrylic)
- · Oil-based paints (alkyd)
- Stains
- Primers
- Varnishes
- Shellacs
 Lacquers
- Urethanes
- Deck paints
- Floor paints
- Sealers
 Waterproofing coatings

◎ NON-PAINTCARE PRODUCTS

Leaking, unlabeled, and empty containers are not accepted.

Paint thinners and solvents
 Aerosol paints (spray cans)

- Auto and marine paints
 Paint additives, colorants,
- tints, resins
- Wood preservatives
- (containing pesticides)

Any non-coatings

- Asphalt, tar, and bitumen-based products
- 2-component coatings
 Coatings used for Original Equipment Manufacturing or shop application

(caulk, spackle, cleaner, etc.)

PROGRAM FUNDING

The PaintCare Fee is applied to the purchase price of architectural paint sold in your state as required by law. Fees are based on container size:

Half pint or smaller	\$0.00
Larger than half pint to smaller than 1 gallon	\$0.35
1 gallon	\$0.75
Larger than 1 gallon up to 5 gallons	\$1.60

LEARN MORE

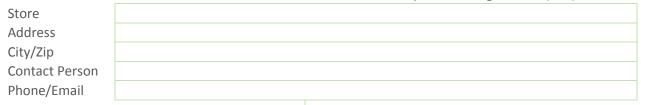
Please ask for a PaintCare program brochure, visit www.paintcare.org, or call (855) 724-6809.



SIGN ORDER FORM

FOR RETAIL DROP-OFF SITES

Please complete this form and send it to PaintCare. Indicate the quantities you would like below. Email: retail@paintcare.org • Fax: (855) 385-2020



1. No Dumping Sign



English 24x36 (Metal) English 12x18 (Metal) Spanish 24x36 (Metal) Spanish 12x18 (Metal) 2. Program Products Sign Detailed list of products covered by PaintCare.

4. Acceptable / Non Acceptable Sign



English 24x36 (Metal) English 12x18 (Metal) Spanish 24x36 (Metal) Spanish 12x18 (Metal)

3. Program Partner Sign



Parigon 100 We're a PaintCare Drop-Off Site Faint States an 5 gallera - Musi not be

Simplified list of products covered by PaintCare. English 24x36 (Metal) English 12x18(Metal) Bilingual* 24x36 (Metal) Bilingual* 12x18 (Metal) Korean 24x36 (Metal) Korean 12x18 (Metal)

*Bilingual: English/Spanish

5. Wait for Assistance Sign

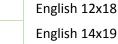


Bilingual with A-Frame 24x18 (Plastic)

6. Counter Mat

For paint counters and checkout stands.





Program Awareness Survey Results

Connecticut PaintCare Surveys

Online surveys were conducted using SurveyMonkey. Blue numbers indicate the number of people who responded.

	June 2013 June 2014		June 2015			
	Percent	Count	Percent	Count	Percent	Coun
1. Do you surrontly have any leftoyer or unwanted paint in						
1. Do you currently have any leftover or unwanted paint in your home or business?		233		278		222
None	4.3	10	26.3	73	23	5
Less than 1 gallon	11.6	27	8.3	23	7.7	1
1-5 gallons (would fit in a cardboard box)	47.6	111	38.5	107	40.5	9
5-15 gallons (would fit in a shopping cart)	30.5	71	18.7	52	21.6	4
15-30 gallons (would fit in two shopping carts)	2.6	6	3.2	9	0.9	
More than 30 gallons	0.4	1	0.4	1	0.5	
Yes, but I don't know how much	3.0	7	4.7	13	5.9	1
2. Where did the paint come from? (check all that apply)		298		261		22
I did some painting myself and had some leftover	74.7	174	80.6	162	59.9	13
I hired someone to paint and they left it behind.	32.2	75	31.3	63	33.8	7
I found it in my home/business when I moved in	14.6	34	15.4	31	11.3	2
I am a painting contractor and it is from one of my jobs	1.7	4	1	2	0.5	
I don't remember where the paint came from	-	-	-	-	9.0	2
Other	4.7	11	1.5	3	1.4	
Other from 2015 survey:						
 Someone gave it to me for touchups 						
My husband is a contractor						
• Leftover from a boatbuilding career (retired now)						
3. In the past, which has been your preferred method to						
dispose of leftover or unwanted paint? (check all that apply)		385		264		22
Poured it down the drain	0.9	2	2.6	7	0.5	
Put can(s) of liquid paint in the trash	5.2	12	10.2	27	3.6	
Dried out the paint and put it in the trash	33.9	79	33.3	88	16.2	3
Stored it in the basement or garage, intend to use	66.0	155	58.0	153	30.6	6
Stored it in the basement or garage, not sure what to do	23.2	54	-	-	-	
Took it to a paint store	0.9	2	3.4	9	5.0	1
Took it to a household hazardous waste event or facility	21.9	51	31.1	82	25.2	5
Gave it away to a family, friend or community organization	3.9	9	12.1	32	3.2	
Left it behind when I moved	3.9	9	10.6	28	1.4	
I don't remember what I did with the leftover paint	1.3	3	3.8	10	4.1	
I have never stored or disposed of leftover or unwanted paint	-	-	7.6	21	6.8	1
Other (please specify)	3.9	9	-	-	2.7	
Other from 2015 survey:						

Other from 2015 survey:

• Give my paint project another coat and use it up

• Try it on other projects

- Transfer station (2)
- I didn't know paint stores would take it back
- Give it to a friend
- Used it till it was gone including mixing different colors and using that

4. If you had unwanted paint, what would you do with it?		233		259		222
Pour it down the drain	0.0	0	0.4	1	0.5	1
Put can(s) of liquid paint in the trash	3.0	7	7.3	19	0.5	1
Dry out the paint and put it in the trash	29.6	69	15.1	39	18.0	40
Take it to a paint store	1.7	4	7.0	18	13.1	29
Take it to a household hazardous waste event or facility	46.4	108	44.8	116	43.2	96
Give it away to a family, friend or community organization	6.0	14	8.5	22	5.4	12
l don't know	9.9	23	17.0	44	13.5	30
Other (please specify)	3.4	8	-	-	0.9	2

Other from 2015 survey:

Not sure

• Call a paint store and see if they will take it

• Use it up by painting another coat

			265		222
19.7	46	29.8	79	31.1	69
80.3	187	70.2	186	68.9	153
	233		265		222
67.8	158	71.3	189	68.9	153
8.6	20	6.8	18	12.6	28
23.6	55	21.9	58	18.5	41
	233		265		222
68.0	46	70.6	187	66.7	148
32.0	187	29.4	78	33.3	74
-	-	-	-	NA	36
	80.3 67.8 8.6 23.6 68.0 32.0	80.3 187 233 67.8 158 8.6 20 23.6 55 233 68.0 46 32.0 187	233 70.2 67.8 158 71.3 8.6 20 6.8 23.6 55 21.9 233 68.0 46 70.6 32.0 187 29.4	19.7 46 29.8 79 80.3 187 70.2 186 233 265 67.8 158 71.3 189 8.6 20 6.8 18 23.6 55 21.9 58 68.0 46 70.6 187 32.0 187 29.4 78	19.7 46 29.8 79 31.1 80.3 187 70.2 186 68.9 233 265 67.8 158 71.3 189 68.9 8.6 20 6.8 18 12.6 23.6 55 21.9 58 18.5 233 265 68.0 46 70.6 187 66.7 32.0 187 29.4 78 33.3

Responses to "yes, where" from 2015 survey:

•	Paint store	•	Sherwin-Williams
•	Transfer station (3)	•	Long Wharf Drive, New Haven
•	Rings End (2)	•	Local paint store (6)
•	Hazardous waste facility (2)	•	Colony Paint
•	Recycling facility/center (5)	•	Community Center
•	Landfill or dump (3)	•	Town waste facility
•	Local hazardous waste collection event/day (6)	•	Beman's Hardware
•	Hazardous waste central	•	White Street

222

144

35

7

3

4

29

8. How far is the closest paint store? 233 265 Less than 1 mile 17.6 41 18.1 48 13.1 1-5 miles 64.4 150 57.4 152 64.9 5-10 miles 17.2 40 18.1 48 15.8 10-20 miles 0.4 1 3.0 8 3.2 20-30 miles 0.0 0 0.8 2 1.4

9. How far would you drive to get rid of unwanted paint?		233		265		222
20-30 miles	3.0	7	3.8	10	5.4	12
10-20 miles	22.3	52	23.4	62	18.9	42
5-10 miles	39.1	91	40.0	106	32.9	73
1-5 miles	31.8	74	25.7	68	34.7	77
Less than 1 mile	1.3	3	3.0	8	2.7	6
Not sure	2.6	6	4.2	11	5.4	12

0.4

1

2.6

7

1.8

10. What county do you live in?		233		162		222
Fairfield	30.0	70	25.9	42	26.6	59
Hartford	23.2	54	16.7	27	25.2	56
Litchfield	5.2	12	4.3	7	7.2	16
Middlesex	7.3	17	8.0	13	6.8	15
New Haven	4.3	10	29.0	47	18.9	42
New London	23.2	54	7.4	12	9.9	22
Tolland	3.4	8	3.7	6	3.6	8
Windham	2.6	6	4.9	8	1.8	4
Not Sure	0.9	2	-	-	-	-
11. How would you describe the place where you live?		233		259		222
Urban / Major City	12.0	28	11.2	29	6.8	15
Suburban	47.6	111	54.1	140	48.6	108
Small City or Town	28.8	67	23.6	61	27.9	65
Rural / Countryside	10.3	24	11.2	29	14.9	33
Other (please specify)	1.3	3	-	-	1.8	1
Other from 2015 survey: 55 and Over community						
12. Where do you live?		233		259		222
Single-family house	86.7	202	75.3	195	78.8	175
Two or Three-family house	2.6	6	7.0	18	6.3	14
Condominium or apartment building with many units	8.2	19	17.8	46	14.4	32
Manufactured or Mobile Home	-	-	-	-	0.0	0
Other (please specify)	2.6	6	0.0	0	0.5	1
Other from 2015 survey: Carriage house						
13. Do you paint professionally?		233		278		222
Yes	0.9	2	2.2	6	2.3	5
No	99.1	231	97.8	272	97.7	217
14. What is your age?		233		263		222
Under 21	3.0	7	3.8	10	1.4	3
21-40	13.3	31	30.0	79	12.2	27
41-60	54.1	126	38.0	100	40.5	90
Over 60	28.3	66	28.2	74	42.8	95
Prefer not to say	1.3	3	-	-	3.2	7
15. Gender		233		263		222
Male	52.4	122	46.7	124	45.0	100
Female	45.9	107	53.3	139	51.8	115
Prefer not to say	1.7	4	-	-	3.2	7
16. Educational Level		233		263		222
Some High School	1.7	4	0.8	2	0.0	0
High School Graduate	5.2	12	5.3	14	6.3	14
Some College, Vocational, Trade, or Technical	17.2	40	28.1	74	26	58
4 year degree or higher	74.2	173	64.6	170	65	144
Prefer not to say	1.7	4	1.1	3	2.7	6

17. What is your household income?		233		263		222
Less than \$50K	10.3	24	21.3	56	15.3	34
\$50 — 100К	29.2	68	24.3	64	24.8	55
\$100 – 150K	22.3	52	23.2	61	23	51
Over \$150K	20.6	48	9.9	26	20.7	46
Prefer not to say	17.6	41	21.3	56	16.2	36

Notes:

Questions 2 and 3 allowed more than one answer; percentages are calculated using the number of people, not the number of answers.

A dash (-) indicates that the survey conducted that year did not ask the question.